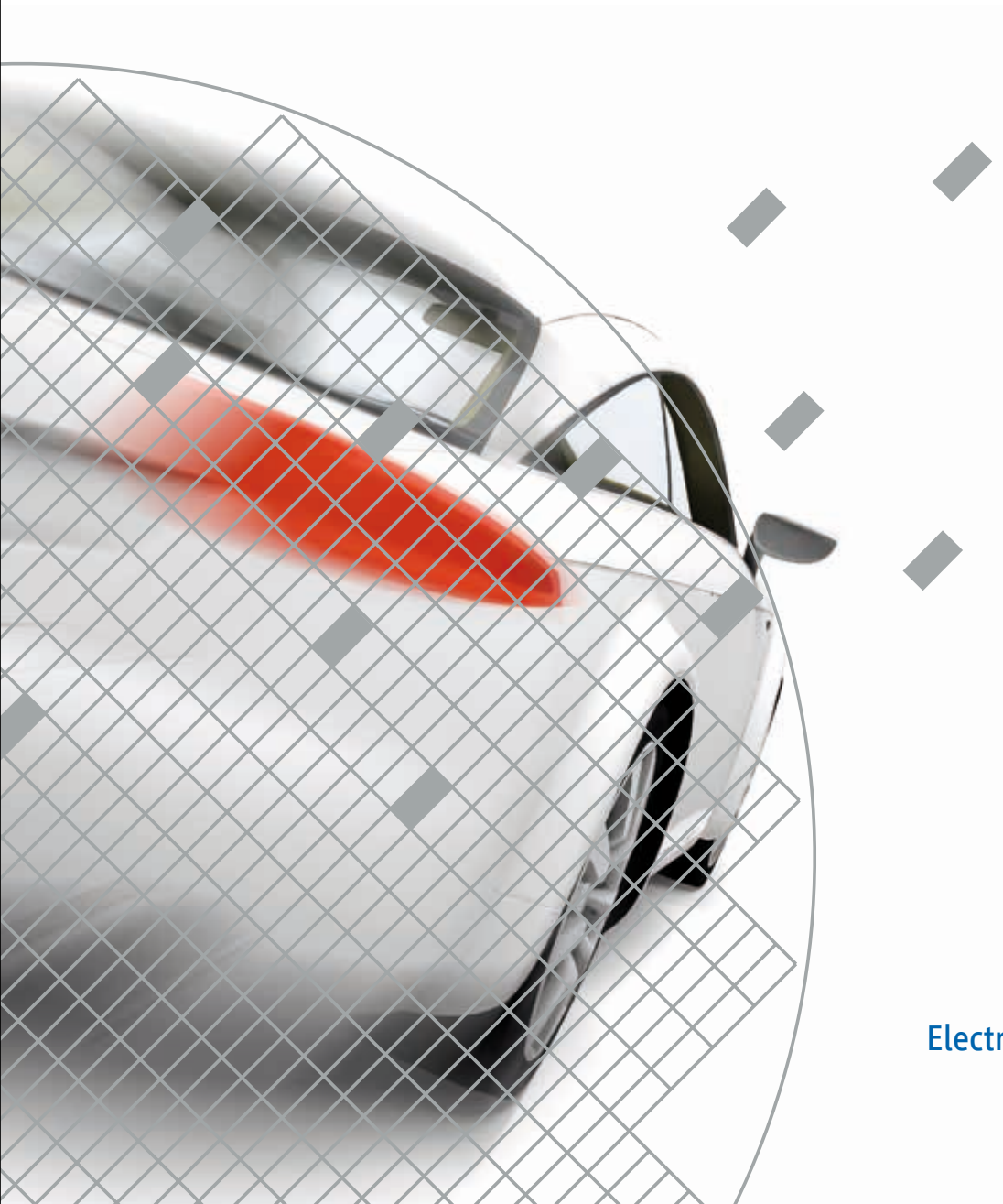


Handbook for Robustness Validation of Semiconductor Devices in Automotive Applications



Electronic Components and
Systems Division

Handbook for Robustness Validation of Semiconductor Devices in Automotive Applications

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Electronic Components and Systems Division
www.zvei.org/robustnessvalidation

Foreword (second and third revised edition)

Third revised edition

Intense communication with AEC about the relationship between the standards AEC Q100/Q101 and this handbook as well as the SAE standard J1897 resulted in an additional annex in Q100 and Q101. The annex describes the decision flow and boundary conditions, whether to apply stress test based qualification for standard, or extended duration(s), or robustness validation.

The revision of this handbook under section 9.1, explains the application of the decision flow in the Q100/101 annex in more detail. In addition, other improvements from Robustness Validation practice, new tutorials and publications are subject of this revision.

Andreas Preussger
Core Team Leader
RV Group
Editor in Chief 3rd edition

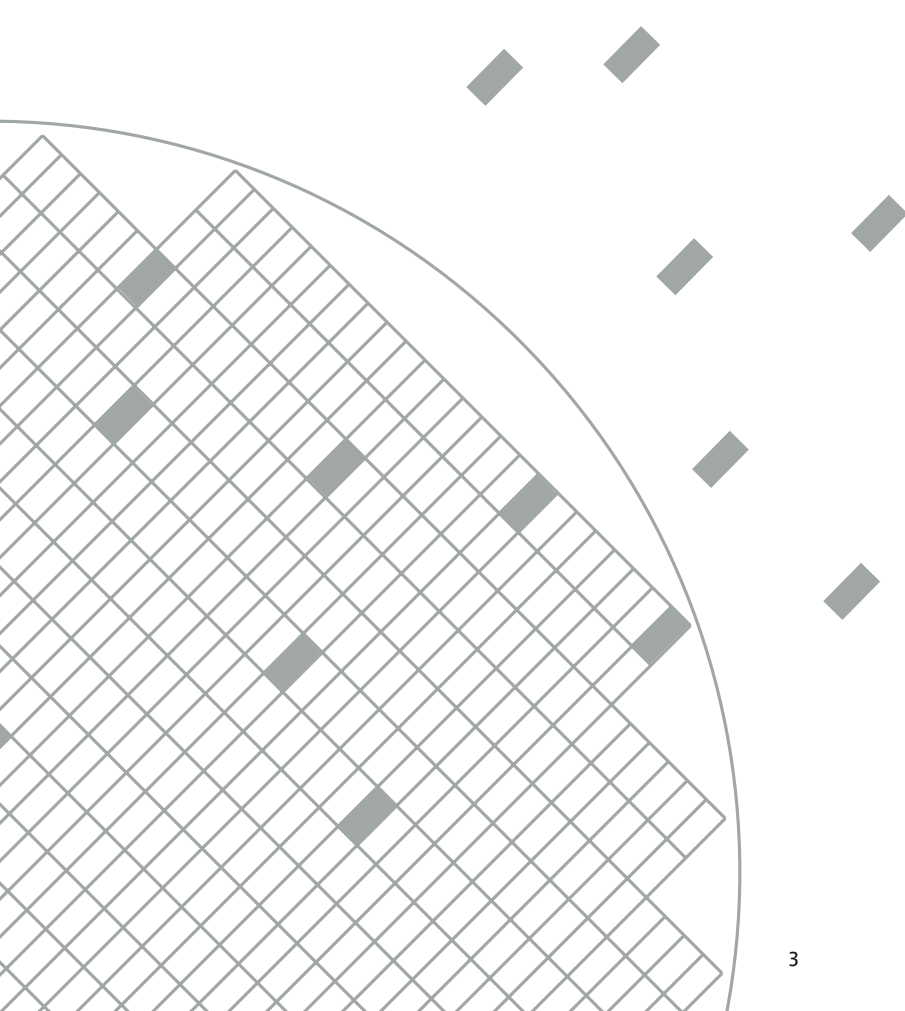
Second revised edition

Since four years Robustness Validation has found its way into the daily business of semiconductor product qualification. During that time several working groups of the ZVEI have published supporting documents:

- Knowledge Matrix is published on ZVEI and SAE homepage (yearly update, currently 4th version under review).
- Robustness Validation for MEMS - Appendix to the Handbook for Robustness Validation of Semiconductor Devices in Automotive Applications (2009).
- Handbook for Robustness Validation of Automotive Electrical/Electronic Modules and content copy: SAE Standard J1211 (2008, under review).
- Automotive Application Questionnaire for Electronic Control Units and Sensors (2006, Daimler, Robert Bosch, Infineon).
- Pressure Sensor Qualification beyond AEC Q100 (2008, IFX: S. Vasquez-Borucki).
- Robustness Validation Manual - How to use the Handbook in product engineering (2009, RV Forum).
- How to Measure Lifetime - Robustness Validation Step by Step (will be published October 2012).

Especially the Robustness Validation Manual gives guidance in how to apply RV in different scenarios. The specific semiconductor knowledge on failure mechanisms has been updated on a yearly basis in the Knowledge Matrix available on the homepages of SAE and ZVEI. The 2nd revision contains topics the community learned during application of Robustness Validation and aligns the document to current practice.

Andreas Preussger
Core Team Leader
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Editor in Chief 2nd edition



Preface (first edition from April 2007)

Can you imagine hiking on a steep mountain trail in the black of night not knowing how close to the edge of the cliff you are? Would you feel safe?

Electronic components, such as semiconductors, have technical limits that might be very close to the edge of the customer's specification. When this occurs, the semiconductor can malfunction and possibly cause an operational failure of a critical vehicle system.

As in the hiking analogy, wouldn't it be better to have the information as to how close the semiconductor actually performs with regard to the specification limits, or better yet, to know that there is a safety zone, or guard band, between the semiconductor's performance and the specification limits?

Helmut Keller
Chairman ZVEI
Robustness Validation Committee

The basic philosophy behind the Robustness Validation methodology described in this Handbook is to gain knowledge about the size of the guard band by testing the semiconductor to failure, or end-of-life. The goal of Robustness Validation is to achieve lower ppm-failure rates by ensuring adequate guard band between the 'real-life' operating range of the semiconductor and the points at which the semiconductor fails.

The current 'test-to-pass' statistical method used to select and qualify semiconductor devices does not provide information regarding the amount of guard band. This is very similar to hiking in the dark without knowing where the edge of the cliff is.

The safer way is to use Robustness Validation approach. Please read on.

Jack Stein
Chairman SAE
Automotive Electronics Reliability Committee

Foreword (first edition)

The quality of the vehicles we buy and the competitiveness of the automotive industry depend on being able to make quality and reliability predictions. Qualification measures must provide useful and accurate data to provide added value. Increasingly, manufacturers of semiconductor components must be able to show that they are producing meaningful results for the reliability of their products under defined Mission Profiles from the whole supply chain.

Reliability is the probability that a semiconductor component will perform in accordance with expectations for a predetermined period of time in a given environment. To be efficient reliability testing has to compress this time scale by accelerated stresses to generate knowledge on the time to fail. To meet any reliability objective requires comprehensive knowledge of the interaction of failure modes, failure mechanisms, the Mission Profile and the design of the product. Ten years ago you could read: "Qualification tests of prototypes must ensure that quality and reliability targets have been reached".

This approach is no longer sufficient to guarantee robust electronic products for a failure free life of the car, which is the intention of the Zero-Defect-Approach. The emphasis has now shifted from merely the detection of failures to their prevention.

We started this way by introducing screening methods after the product had been produced after product has successfully survived a standard qualification. Then the focus shifted to reliability methodologies applied on technology level during development.

Now product qualification again changes from the detection of defects based on predefined sample sizes towards the generation of knowledge by generating failure mechanisms specific data, combined with the knowledge from the technology field. Now we can generate real knowledge on the robustness of products.

Qualification focuses on intrinsic topics of products and technologies, requiring only small sample sizes. Defectivity issues now put a big load on monitoring measures, which are now needed to demonstrate manufacturability and the control of extrinsic defects.

This handbook should give guidance to engineers how to apply Robustness Validation during development and qualification of semiconductor components. It was made possible because many companies, semiconductor manufacturers, component manufacturers (Tier1) and car manufacturers (OEMs) worked together in a joint working group to bring in the knowledge of the complete supply chain.

I would like to thank all teams, organizations and colleagues for actively supporting the Robustness Validation approach.

Andreas Preussger
Core Team Leader
Robustness Validation Group
Editor in Chief 1st revision

Acknowledgement

We would like to thank the team members of various committees and their associates for their important contributions to the completion of the 1st edition of this handbook. Without their commitment, enthusiasm, and dedication, the timely compilation of the handbook would not have been possible.

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1. Introduction

In 2006 members of SAE International Automotive Electronic Systems Reliability Standards Committee, ZVEI (German Electrical and Electronic Manufacturers' Association), AEC (Automotive Electronics Council) and JSAE (Japanese Society of Automotive Engineers) formed a joint task force and published the first version of the Robustness Validation Handbook (RVHB) together with an update of the corresponding SAE document (SAE Recommended Practice J1879, General Qualification and Production Acceptance Criteria for Integrated Circuits in Automotive Applications), which was a content copy of the Robustness Validation Handbook.

The RVHB was based on information from a wide number of sources including international Automotive OEMs and their full supply chain, engineering societies, and other related organizations.

This RVHB provides the automotive electronics community with a common qualification methodology to demonstrate acceptable reliability. The Robustness Validation approach requires testing the component to failure, or end-of-life (EOL), avoiding invalid failure mechanisms, and evaluation of the Robustness Margin between the outer limits of the customer specification and the actual performance of the component.

Since then the principles defined in this handbook have been applied in modules, systems and other application areas. For details see Section 19.

2. Scope

This document will primarily address intrinsic reliability of electronic components for use in automotive electronics. Where practical, methods of extrinsic reliability detection and prevention will also be addressed. The current handbook primarily focuses on integrated circuit subjects, but can easily be adapted for use in discrete or passive device qualification with the generation of a list of failure mechanisms relevant to those components. Semiconductor device qualification is the main scope of the current handbook.

Other procedures addressing extrinsic defects are particularly mentioned in the monitoring chapter. Striving for the target of Zero Defects in component manufacturing and product use it is strongly recommended to apply this handbook. If the handbook gets adopted as a standard, the term 'shall' will represent a binding requirement.

This document does not relieve the supplier of the responsibility to assure that a product meets the complete set of its requirements.

3. Definition of Robustness Validation

Robustness Validation (RV) is a process to demonstrate the robustness of a semiconductor component under a defined Mission Profile. RV represents an approach to qualification and validation that is based on knowledge of failure mechanisms and relates to specific Mission Profiles. The knowledge gained by applying this approach leads to improvement that extends beyond the component and its manufacturing process under consideration. RV contains great potential for re-use, which contributes in its entirety to a significant increase in quality and reliability, time to market and reduction of costs. Last but not least, this will result in improvement of the competitiveness of all involved participants from the value adding chain.

A Mission Profile defines the conditions of use for the component in the intended application (see Section 5). The Mission Profile establishes the basis for the RV approach, providing necessary additional information that is not described in the datasheet. Experience shows that a simple passing on of specifications down the supply chain is inadequate for and incapable of capturing the necessary information. Rather, an interactive process including the entire value chain is needed to achieve a common understanding of and a mutual agreement on the requirements, which is a key factor for success of a project. This interactive process has to be started in the early concept and definition phase of the project. Cross-functional and inter-company communication across the entire value chain shall, therefore, be established as good practice.

Table 3.1 Illustrates the Meaning of RV by Contrasting Positive (IS) and Negative (IS NOT) Statements

Robustness Validation IS	Robustness Validation IS NOT
A methodology	A regulation or specification
A test to failure process or end-of-life process	A test to pass/limit process
Validation of 'fit for use'	Validation of 'fit to standard'
An iterative process	A one off process
A process to gain knowledge of the failure mechanisms of a semiconductor component	A process to gain knowledge of where the functional
A measurement of product lifetime	A go/no go (attribute) measurement

4. Robustness Validation Basics

4.1 Robustness Validation Summary

Robustness is the capability of functioning correctly or not failing under varying application and production conditions. RV relies heavily on expertise and knowledge, and, therefore, requires detailed explanation and intensive communication among the specialists of the participants along the entire value adding chain.

This methodology is based on three key components:

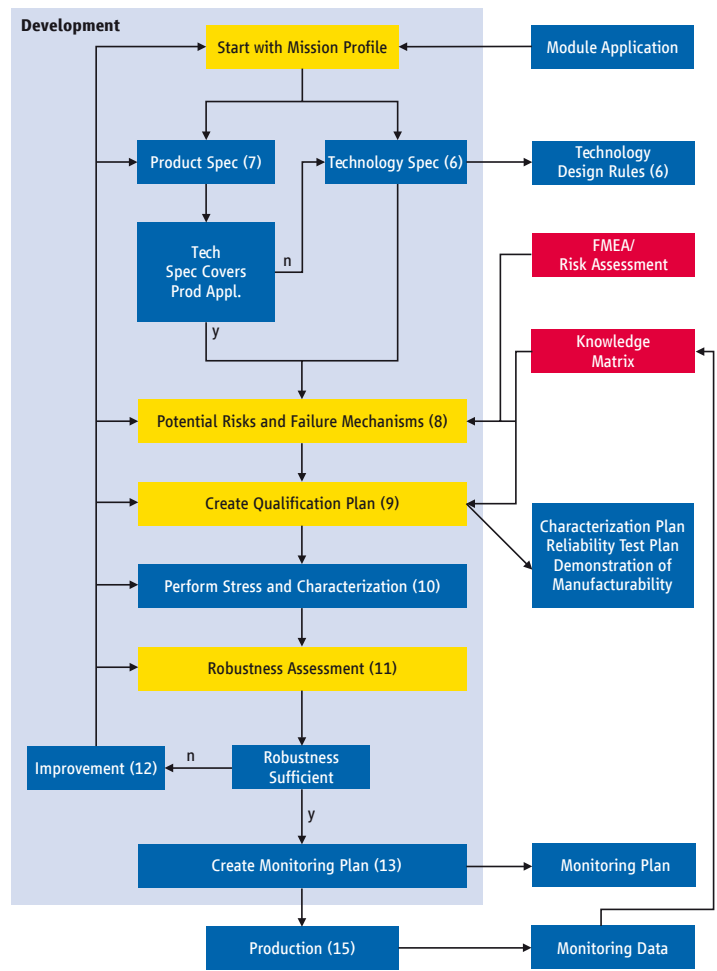
- Knowledge of the conditions of use (Mission Profile, see Section 5)
- Knowledge of the failure mechanisms and failure modes and the possible interactions between different failure mechanisms
- Knowledge of acceleration models for the failure mechanisms needed to define and assess accelerated tests.

RV is a knowledge-based approach [1,7,8] utilizing stress tests that are defined to address dedicated failure mechanisms using suitable test vehicles (e. g. wafer test structures, packaged parts) and specific stress conditions. If accurately applied this approach results in a product being qualified as 'fit for use', and not 'fit for standard' only.

4.2 Robustness Validation Flow

The RV Flow (Figure 4.1) is part of the development process. It starts with the transfer of the Mission Profile from the module level to the level of the semiconductor component. For details of this transfer, see Section 5. The process ends with release for mass production and definition of the related monitoring plan.

Figure 4.1 RV Qualification Process Flow



The numbers in the figure refer to sections of this document.

4.3 Robustness Diagrams

Results of RV can be represented by the use of Robustness Diagrams.

The Commodity Component Robustness Diagram, shown in Figure 4.2, represents the first use of a robustness diagram, and is initiated at the conclusion of the finalization of the Mission Profile. At this point, the Semiconductor Component Supplier investigates whether the Mission Profile requirement can be achieved by using the relevant commodity device.

Figure 4.2 provides such a pictorial representation for two parameters, A and B, which have a certain relationship, such as voltage and temperature. Many parameters may be simple enough to plot one-dimensionally. The red box represents the area of the application's specification, which the commodity component must meet or exceed. The light blue area represents the commodity components actual performance. The Robustness Margin is the distance between any point of application specification and the point of failure of the commodity component, taking into account

all variations of the product and the application's environment. The failure could result in different failure modes X, Y, Z, depending on the values of the parameters A and B. A robust component is a component that is able to maintain all the required characteristics under the conditions of use over the lifecycle without degradation to out-of-spec values.

The Commodity Component Robustness Diagram should be reviewed with the customer to demonstrate the actual robustness of the component when developing the application FMEA.

The Application-Specific Component Robustness Diagram, shown in Figure 4.3, represents the second use of a robustness diagram and is initiated at the conclusion of the RV Stress Test. At this point, the Component Supplier demonstrates to his customer the robustness of the semiconductor component to exceed the application specification requirement.

Figure 4.2 Robustness Diagram for a Commodity Semiconductor Component.

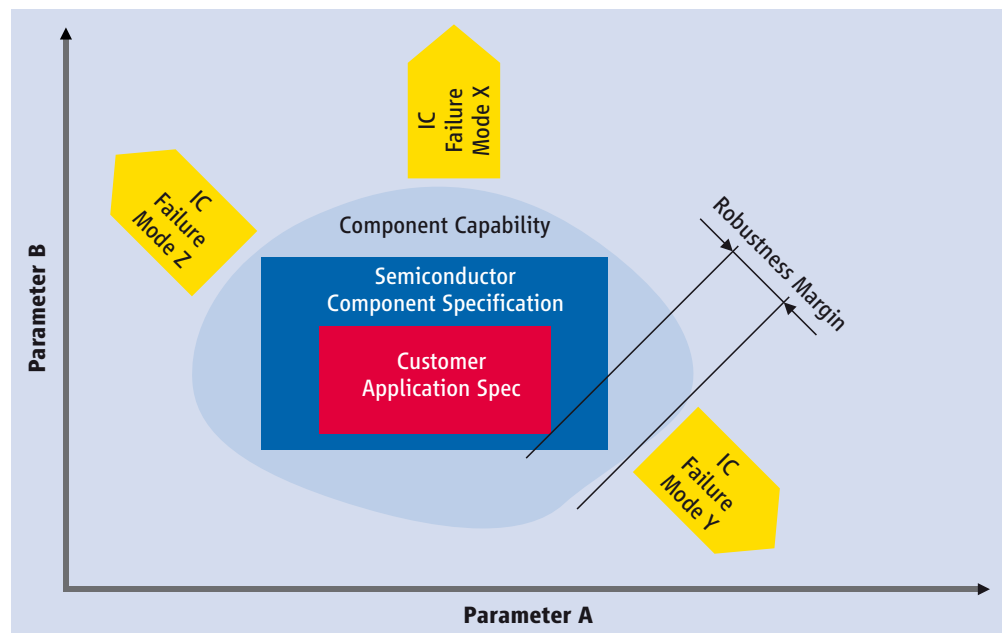
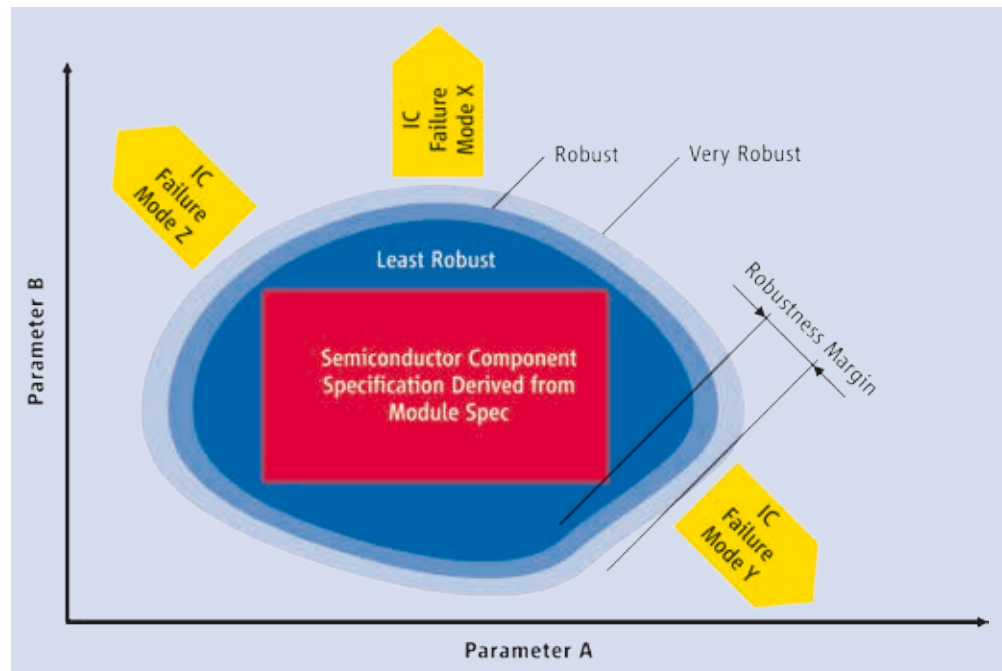


Figure 4.3 Application-Specific Component Robustness Diagram.



The IC specification for parameters A and B can be represented by a box (in red/Figure 4.3) that displays the minimum and maximum allowed values. Naturally, the range of parameter values for a certain application must lie within this box. However, the specification limit does not imply that the product will fail at this point. RV identifies the point of failure for the values of (A, B). The line connecting all points of failure gives the component capability as shown by the light

blue area. When any point (A_i, B_j) lies outside the component capability a failure criterion related to A, B or both parameters is violated and the semiconductor component fails. The type of failure mechanism that causes the failure depends on the parameter values and can vary along this component capability curve. Examples for parameters A and B are given in Table 4.2.

Table 4.2 Examples of Parameters of a Two-Dimensional Robustness Diagram

Parameter A	Parameter B
Lifetime	Gate oxide area
Lifetime	Supply voltage
Maximum current density	Junction temperature (max)
Lifetime	Number of temperature cycles
Supply voltage	Ambient temperature (min)
Number of temperature cycles	Temperature range of cycles ($T_{max} - T_{min}$)
Number of critical vias	Lifetime

4.4 Difference between RV Approach and Stress Test Driven Qualification Standards

The stresses address multiple failure mechanisms and the test itself being considered pass when NO stress relevant failure occurs. Particular business fields usually require specific stress recipes, prescribed by standards specific to each of them, promoting in the most cases single failures with extrinsic defect nature. At the end, these are almost neither systematic, nor relevant for the real application, and only very few intrinsic defects being triggered with relevance to the actual service life of the component. Investigations of the failures triggered by these generic tests usually require substantial effort on failure analysis and to yields almost in root cause information with less or no importance for component's actual service life. Both, effectivity and efficiency of the stress test driven qualification may be therefore questionable.

On the other hand, the RV approach requires the institution of wear out studies on particularly chosen tests promoting specific intrinsic failure modes and provides significant amounts of failure mechanism specific information. Detailed studies on the accordingly triggered failure mechanisms and activation energies will successfully yield in accumulation of valuable knowledge on relevant failures. This represents in consequence the basis for the Robustness Assessment and supports the calculation of the actual Robustness Margin relevant to the component application specific Mission Profile.

Thus, all the accumulated knowledge generated through testing, requested by RV, represents is added value and the owning organization is invited to re-use it as often as requested.

In stress-based standards, all tests have fixed stress conditions over a predefined period of time [5]. Only a few of the stress tests really focus on single failure mechanisms. The sample sizes are selected as a compromise between failure mechanism detection and the economies of testing and material sets. Stress time is typically chosen to address the anticipated design life of the part based on acceleration models for temperature, voltage, and humidity using mean acceleration factors. As an example, temperature acceleration is typically addressed by 'average' activation energy of $E_a = 0.7$ eV, while the spectrum of failure mechanisms ranges from -0.2 eV to 3.3 eV. Depending on the dominating failure mechanism, the use of average values for E_a could result in misleading interpretations of stress test results. The information gleaned from these tests, while comforting when detecting Zero Defects, may be misleading to the customer. This is caused by the fact, that if no failures are generated:

- The actual robustness of the product being NOT known.
- Acceleration factors are NOT measured.
- There is no proof that the intended failure mechanisms have been triggered.
- The dominant failure mechanism may not be sufficiently accelerated to demonstrate the lifetime requirements.

In the past, this approach helped the customer to compare products from different suppliers and to generate a large database of stress test results performed under identical conditions. As the robustness was not known, the quality, reliability and Robustness Margins could not be improved effectively, or may even have been unintentionally reduced. Some examples for which traditional stress-test methodologies have been unable to detect subsequent field issues are described in Section 15.1.

Development activity is now required to generate a failure mechanism risk assessment and a stress methodology that is able to characterize the failure mechanisms.

4.5 Failure Mechanism

Reliability physics differentiates between intrinsic and extrinsic failure mechanisms. The intrinsic failures can be characterized by a small sample of test devices stressed to failure, because they can be considered as physical properties of the materials used.

Extrinsic failures, on the other hand, are random in nature and a large sample size is needed to characterize the critical part of the distribution.

Defect density related failures are typical examples for the last group. Therefore, the sample size must be chosen depending on the type of failure to be addressed by a specific test and the failure rate target to be demonstrated.

Extrinsic failures are mainly dominated by manufacturing performance issues and not by the product itself. Therefore, in most of the cases, a complex component like an IC does not necessarily the best vehicle to characterize or measure extrinsic kinds of failures.

On the other hand it is the main task of the IC design to ensure the expected semiconductor robustness by addressing all known intrinsic failure mechanisms and where ever possible the particular manufacturing process disturbances, too, through the accurate application of accordingly developed and engineered design rules and simulation tools integrated in the design flow.

Table 4.3 Different Failure Mechanisms

Intrinsic failure	Extrinsic failure
Related to the inherent material properties or design	Related to process induced deviations
Systematic	Random
Wearout	Early life failures
Small sample sizes sufficient	Large sample sizes needed

4.6 Acceptance Criteria

Acceptance criteria of stress-test-driven approaches are typically ‘test to pass’, which means that the value of the qualification statement is completely dependent on the validity of the model parameters, because quality and the reliability are not really measured. Therefore, the robustness of the product is actually not known after performing this kind of qualification. The result evaluation being of qualitative nature, as the relationship between the applied stress during the stress-test-driven qualification conditions and lifetime at conditions of use are usually

not established. The sensitivity of stress-test-driven methods with respect to new or changed materials or technologies being not sufficient to demonstrate robustness of a component in the harsh automotive environment.

5. Mission Profile / Vehicle Requirements

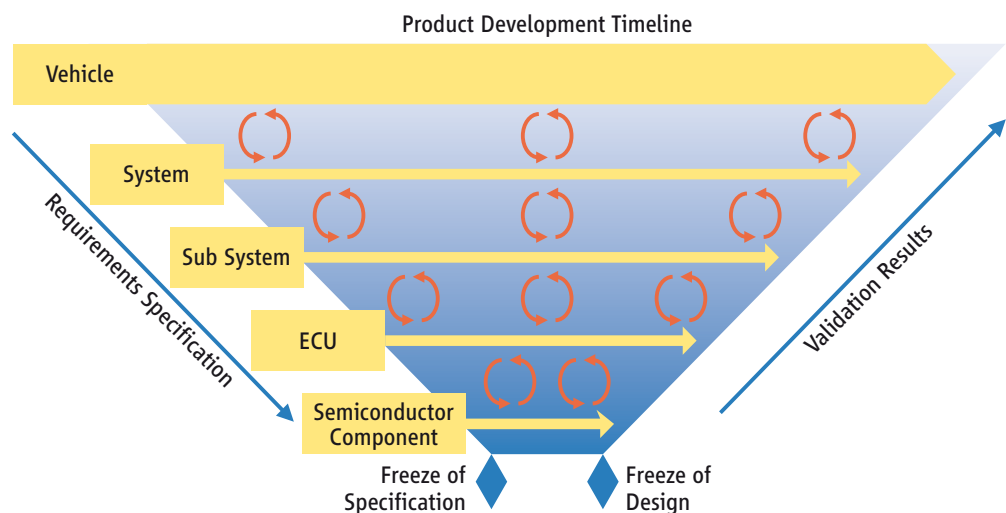
As mentioned in the previous section, the knowledge on the actual conditions of use in the overall system of the semiconductor device under investigation represents one of the key components of RV. The RV process for any relevant component shall start always with the generation of the Mission Profile based on its actual conditions of use in the environment of the current and next higher level of the component hierarchy. The supplier of the semiconductor component will develop a set of profile assumptions based on market research and/or interactions with customers to capture the majority of user application scenarios. The generation process of the Mission Profile for the component in question represents a detailed, back and forward oriented communication process across the entire value adding chain on each detail of the actual Conditions of Use in the chosen application. The primary and overarching objective is to ensure the requested/expected quality and reliability over the entire service life of the final product of the OEM. Therefore the BEST known PRACTICE to mutually conclude in good faith for the actual realization on the best technical, reliable and cost saving trade-off shall be established in order to ensure competitiveness and the necessary margin to each of the involved partners.

The ideal flow for the generation of these conditions of use is illustrated in Figure 5.1. Starting from the Mission Profile for the vehicle (such as a car or truck), the corresponding high-level requirements are defined. These requirements are then transferred from the different system levels, module level, and electronic control unit to the level of the semiconductor component (see Figure 5.1).

As mentioned before this shall not represent a one-direction process along the chain, but rather an interactive, iterative agile communication, up and down the entire supply chain, as specifications development proceeds. Thereby the requirements become step by step more clear and shall be finally and mutually concluded by all involved parties at the point of freezing the specification. This is still valid for the Mission Profile, too.

Examples of the contents of a Mission Profile on ECU level can be found in the paper 'Automotive Application Questionnaire for Electronic Control Units and Sensors', published by ZVEI [9].

Figure 5.1 Product Development Process



The Mission Profile represents the collection of all relevant environmental load/stress and conditions of use to which a component will be exposed during its full life cycle.

Life cycle is defined as the time period between the completion of the manufacturing process of the semiconductor component and the end of life of the vehicle.

The Mission Profile includes:

- Transport
- Storage
- Processing
- Operations in the intended application

Each of the profile items listed above can occur more than once. It is not state-of-the-art methodology to replace field application conditions by specific stress conditions. A stress test plan cannot replace the Mission Profile. A specific example of lifetime prediction that could be made based on Mission Profile is shown in reference 13.

5.1 Commodity Products vs. ASICs

In the case of commodity products, these Mission Profiles are usually defined without a specific user (as in the case of an ASIC), based on the intended customer base and applications. This case is similar to the case of an ASIC; the difference being that the input does not come directly from the customer but instead from internal sources (such as marketing and product definition). The definition of Mission Profiles for commodity products requires information and experience by the semiconductor supplier for certain applications. Contents of the Mission Profile shall be documented for communication to users.

5.2 Conditions of Use

The conditions of use are affected by various parameters, such as service life or mounting location. The following section provides an overview of the conditions of use and the corresponding requirements.

In the same way, a new evaluation is required if the conditions of use change for a current component; for instance, if this component shall be used in a new application.

In the following text, aspects of the Mission Profile are discussed in more detail.

5.3 Vehicle Service Life

The most general data concerns the vehicle service life. This comprises information on

- **Service Lifetime**
The total lifetime of the car.
- **Mileage**
The total number of miles/kilometers that the car is assumed to be driven during its service life.
- **Engine On Time**
The amount of time that the engine and component is switched on (key-on time) and operational during the service lifetime.
- **Engine Off Time**
The amount of time that the engine is switched off while several applications are running (such as the radio on).
- **Non-operating time**
The amount of time remaining by subtracting engine-on and engine-off time from the total service lifetime.

An example of this kind of data is given in Table 5.1 below.

Table 5.1 Example of OEM Vehicle Mission Profile Parameters – (High-Level)

Service Lifetime	Mileage	Engine On Time	Engine Off Time	Non-operating Time	Engine On/Off Cycles
15 years (= 131,400 h)	600,000 km	12,000 h	3,000 h	116,400 h	50 k (no Start-Stop) > 300 k (with Start-Stop)

Note:
There are applications that operate continuously during 'non-operating' time (such as theft protection, alarm system).

5.4 Environmental Conditions and Stress/ Load Factors

The environmental conditions can be classified into four main categories as listed below:

5.5 Thermal Conditions

- Seasonal/daily variation of outside temperature and extremes
- Ambient temperature inside ECU
- Junction temperature

5.6 Electrical Conditions

- Voltage
- Current
- Energy (transients)
- Electric field
- Magnetic field

5.7 Mechanical Conditions

- Vibration
- Shock
- External load, such as pressure or tensile forces

5.8 Other Conditions

- Chemical reactions
- Humidity
- Radiation
- Electromagnetic radiation
- Particle radiation

5.9 Thermal Conditions

The various levels of component integration require a clear understanding and definition of the meaning of the temperature under consideration. Figure 5.2 indicates the locations of different possible points for temperature measurement for different levels of integration.

The temperature measurement locations at the points defined in the Figure 5.2 can be used to describe the thermal conditions in the ECU and the semiconductor components. The temperatures are defined as follows:

$T_{\text{Vehicle Mounting Location Ambient}}$: Temperature at 1 cm distance from the ECU package.

$T_{\text{ECU Package}}$: Temperature at the ECU package.

$T_{\text{ECU Ambient}}$: Temperature of the free air inside the ECU.

$T_{\text{ECU PCB}}$: Temperature on the PC board

$T_{\text{Comp. Case}}$: Temperature at the component case surface.

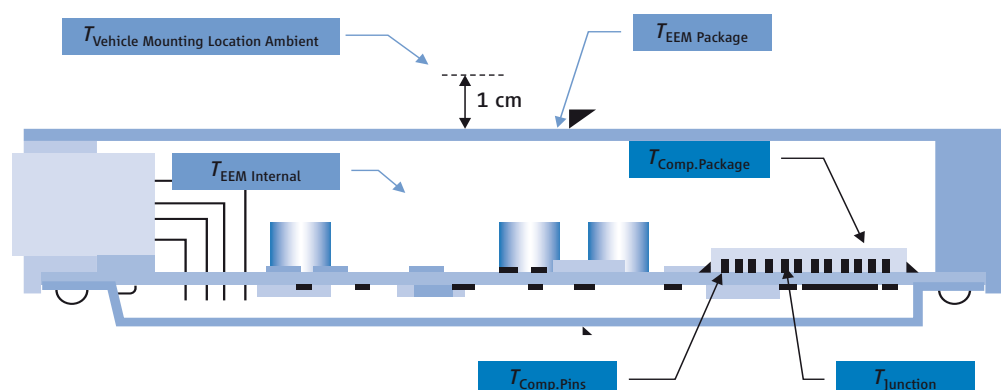
$T_{\text{Comp.Pins}}$: Temperature at the component pins.

T_{Junction} : Junction temperature of the semiconductor component (or substrate).

Thermal conditions include information about these temperatures.

Note:
All load factors can be static or dynamic and can have spatial gradients that must be taken into account.

Figure 5.2 Measurement Points and Temperatures for Temperature Classification within an ECU Module Box.



Actual component temperature depends not only on the outside temperature, but is heavily dependent on the way of mounting (such as proximity to power devices) and the way of cooling (for example, air flow, heat sinks, etc.). Electrical operation of the device itself leads to an additional active heating of the device, which must be taken into account.

Temperature variation results in thermo-mechanical stress on the component. These variations are caused by several factors, such as outside temperature variation and drive conditions. Information about the outside temperature is essential to evaluate thermal conditions for cold starts. Information about electrical operation conditions is needed for operating temperatures. The relevant temperature is dependent on the element and the failure mechanism under consideration.

5.10 Electrical Conditions

Operation of the semiconductor component requires subjecting it to electrical loads. These loads are voltages (resulting internally in electric fields) and currents. The parameters are either essentially static (such as supply voltages) or dynamic (such as switching conditions) or a combination of both. Several operation modes may need to be considered, such as engine on/off conditions. Special conditions, such as jump-start and transients, must also be defined if they are relevant for the component. For certain semiconductor components, such as Hall sensors, magnetic fields also must be specified.

5.11 Mechanical Conditions

External mechanical loads originate from vibration and shock. The possible effects of vibration depend strongly on the way in which the semiconductor component is mounted. Mechanical fatigue of bonding wires or bonding pads, for instance, could be caused by vibrations at the resonance frequency of hermetically sealed devices, but also structural changes, fractures and loosening of connections could be caused and result in opens, shorts, contact problems or noise. As well as vibration, mechanical shock may also be an influencing factor. These failure mechanisms

result in the same failures as vibration but are different from the ones stimulated by mechanical stress due to temperature cycling [14]. For specific components, such as sensors, mechanical loads – such as pressure – are inherent in their intended use.

5.12 Other Conditions

Other factors include chemical environments. For instance, components may be exposed to corrosive substances that lead to material degradation.

Humidity, especially in combination with temperature, is a very important environmental factor. The profiles are typically site dependant; for example, the humidity in the US ranges from 93 % RH and 37 °C in August in Orlando down to 13 % RH and 47 °C in June in Tucson. Humidity is not only involved in corrosive reactions, but has several other detrimental effects such as degradation of adhesion or hygroscopic swelling resulting in mechanical stress. Humidity also influences other material parameters.

Radiation is another environmental factor that bears on the operation and reliability of the semiconductor component. Electromagnetic and particle radiation are two types. The widely differing effects caused by these types of radiation depend also on the kind of device (e. g. logic or memory).

5.13 General Remarks on Environmental Conditions

Obtaining a comprehensive definition of environmental stress factors is often very difficult, and requires close communication with all parties involved in the supply chain; the more as conditions may change during the course of development (see also Figure 5.1).

Care must be taken to gather as much information as possible, because lack of such information often results in simplistic worst case assumptions. The consequences of such worst-case assumptions may be over-design of the product or selection of a product that is more expensive than others that serve the same need.

6. Technology Development

Technology Development is the activity that creates a process flow and design rules; in most cases, this is in combination with a cell library. Details are described in Section 3 (Process) of the RV Manual. The input for this process is created from the Mission Profile of the products or generic applications, which are planned to be produced with that technology. It is documented in the Technology Specification. A basic part of the qualification of a technology is the characterization of its variability.

To improve the time to market, some new technology development uses a new product as test vehicle. In this case, both qualifications are performed in parallel. A multidisciplinary team approach shall be used to link the two parallel development flows and to check their progress. Risk management at the design and technology levels shall lead the qualification process.

The design rules are defined based on process line capability, elementary device simulations, reliability evaluations, and historical experience. The design rules must be validated by characterization and reliability testing of library elements or specifically designed test structures. Worst case and marginal structures should be considered as well as process variations. The results of these validations are part of the RV result for each product manufactured on the evaluated technology. The same generic validation procedure should be used for technology levels as for products. Suggestions for design strategies related to identified potential failure mechanisms should be extracted from the Knowledge Matrix (see Section 16).

Technology characterization and wafer level reliability results measure the performance for each failure mechanism (see also Section 14). The technology characterization and wafer level reliability also allow validation and updates of the simulation models. Simulations, preliminary test vehicle characterizations, and preliminary reliability results allow validation of the design strategy.

During the pre-production phase, product reliability and characterization shall specially focus on the risks identified by risk assessments (FMEA) during product and technology developments. Data collection and analysis validate the process ability of the technology.

Prior to technology development projects, the reliability knowledge must be developed in reliability methodology projects. These projects should focus on:

- New materials (such as metal gates)
- New application areas
- New process recipes
- New transistor designs (such as FinFet)
- New device elements (such as solenoids)

Deliverables of methodology projects could be:

- Physical degradation models
- Phenomenological models in cases where the degradation physics is not known
- Model parameters for new materials or technologies
- Spectrum of failure mechanisms for new materials and technologies

After qualification has been achieved, the development phase ends with the readiness for high volume production. Major deliverables at this point in time are:

- Fully documented POR
- Evaluated monitoring plan (see Section 13)
- Evaluated control plan
- SPC operational, including evaluated control limits
- Process and Product FMEA or DRBFM
- Evaluated and qualified design library

7. Product Development

With the exception of pilot products for development of new technologies, products are usually developed using already qualified technologies and libraries. Re-use of qualified elements shall be extensively encouraged. Previous production data concerning the technology to be used, including production reject analysis, shall be inserted in the Knowledge Matrix. Risk assessment should be focused on differences between new product and products already in production.

The development flow starts with a planning phase in which detailed plans are generated and validated, including the necessary resources. Experiences from previous product developments should be taken into account.

Validated design rules, libraries, and simulation models should be singled out. Suggestions for design solutions related to identified potential failure mechanisms should be extracted from the Knowledge Matrix.

Design reviews ensure that the design meets the requirements in an effort to catch errors before they become defects in the design. For risk analysis DRBFM could be a very helpful approach. Simulations, preliminary test vehicle characterization, and preliminary reliability results such as pre-qualification data allow validation of the design concept. Risk and robustness assessment shall be regularly reviewed taking these results into account. More rigorously accelerated stress testing can be used to find the 'weakest links' in early development phase.

During the pre-production phase, product reliability and characterization shall specially focus on risks identified by risk assessments (FMEA) during product development.

Finally, the robustness assessment shall be done for each failure mechanism. Adequate test, detection, screening, and monitoring strategies should be implemented in line with the final robustness assessment, before mass production.

If the measured robustness is below expectation, there are several possible reactions (see Section 12).

The results of the characterization are used to finalize the data sheet and set up the testing required, assuring that all devices produced comply with the functional requirements established for the application. It should be noted that the characterization activities, as a whole or in part, might go through various iterations before they reach the final stage. The number of iterations depends on the device maturity and the findings from bench testing and especially application testing by the user.

From lessons learned and best practices, it is believed that joint user-supplier emphasis on several key development areas will help achieve best application performance. It is therefore expected that extended development tasks will be a normal part of a supplier's process and be defined and executed according to their internal processes. Those key areas are defined below.

8. Potential Risks and Failure Mechanisms

The Mission Profile of an electronic component and the manufacturing technology used constitutes the basis for identification of potential risks to fail in the application together with the potential failure mechanisms. The decision base and the result of this risk assessment should be documented for further reporting. The Knowledge Matrix provides a database to support this risk assessment process.

8.1 The Knowledge Matrix

The Knowledge Matrix is a publicly accessible database containing data on the current state of knowledge of failure mechanisms. Extended versions could exist based on company specific data; some of this data may be confidential.

Weblink to the Knowledge Matrix:

The Knowledge Matrix can be found on the website at

<http://www.sae.org/standardsdev/robustnessvalidation/km.htm>

or

<http://www.zvei.org/RobustnessValidation> under 'Device Level'

The application of RV and the interpretation of the results require knowledge of the basic failure mechanisms. The root causes of these failure mechanisms and effects on the electronic component must be known to relate the failure mechanisms to the product performance and its conditions of use. The Knowledge Matrix is used to identify potential risks and to generate a qualification plan based on the Mission Profile.

In this database, every failure mechanism is described with the following information:

- Name of the failure mechanism.
- Typical cause of the failure mechanism.
- Typical effect of the failure mechanism (considered at the product level of the electronic component).
- Material(s) affected by the failure mechanism
- The method to detect the failure.
- The parameter to characterize the failure mechanism.
- Characteristics of the product and application known to calculate reliability figures.
- Design of a structure to characterize the failure mechanism.
- Methods to prevent the failure mechanism by design or preventive methods during fabrication.
- Optimum stress method to stimulate the failure mechanism.
- Acceleration model for the failure mechanism.
- Reference describing the physical degradation model of the failure mechanism.

8.2 How to Use the Knowledge Matrix

To prepare the qualification plan, the potential risk and failure mechanisms must be identified. Selecting valid fail mechanisms from the Knowledge Matrix requires a review of the entire Knowledge Matrix based on previous qualification efforts and anything new for the part to be considered. The cause and the failure column could contribute some ideas that could help to make this list of failure mechanisms as complete as possible. To check whether requirements are affected, the effect column, which gives information about the effect at the product level, should be taken into account. The application column delivers additional information about whether certain failure mechanisms are relevant because they are accelerated by certain environmental conditions, like temperature or voltage. Before the failure mechanism is chosen for the risk list, it should be determined if it is related to only a specific material.

For the project at hand, make a list of applicable known potential failure mechanisms using the matrices for each semiconductor group:

- Technology/process (supported by PFMEA)
- Device (supported by DFMEA)
- Assembly/package (supported by DFMEA)
- Application/environment

To complete the list with additional potential failures, check the following topics:

What is new (compared to the most similar process available, for instance)?

Technology/Process

- Process step (etch, deposition, etc.)
- Material

Device

- New circuit configuration
- New voltage/current levels
- New element (such as a capacitor)

Design

- New structure
- New layout
- Feature size
(e. g. from 90 to 65 nm)

Specification

- New parameters (AC, DC, timing)
- Changed parameters (limits, extremes)

Application Environment

- Determine the new environmental stress for the application.
- Determine how each stress/combination of stresses affects the device.

For each additional failure mechanism determine

- The characteristics/elements in accordance with the various categories.
- As a minimum: the reliability test that would stimulate/precipitate the failure mechanism.
- Determine if it is possible to accelerate the additional failure mechanism without introducing new failure mechanisms, which would be unexpected under normal use conditions.

The following is an example of how to use the contents of the Knowledge Matrix:

If the supply voltage is defined in the semiconductor component specification, the risk discussion of voltage effects on reliability can be started. The necessary activities are (column headers of Knowledge Matrix in bold).

1. Find the failure mechanism related to the failure cause or Affecting Operating Conditions voltage and select the subsystem chip.

No	Sub System	Material	Failure mechanism	Failure cause	Failure mode	Detection Method	Character of Degrad	Affecting Operating Conditions
37	chip	SiO2	additional charges	mobile ions	Vth shift causing spec violation	weak comp. spec violation	Vth shift after stress	V, T,
44	chip	poly Si NVM	charge loss	SILC ESD	bit flip or retention fails	Vfh Cell	? Vfh	V
74	chip	High k dielectrics	Gate dielectric hard BD	surface roughness contamination ESD lattice defects charge trapping local GOX thinning variation of oxide thickness mobile ions dielectric defectivity	leak increase & G short	IG leak	IG leak	A, V, T
51	chip	SiO2<=4nm	GOX hard BD	surface roughness contamination ESD lattice defects charge trapping local GOX thinning variation of oxide thickness mobile ions dielectric defectivity	G short	IG leak	IG leak	A, V, T
52	chip	SiO2<=4nm	GOX hard BD	surface roughness contamination high E-field lattice defects pinholes charge trapping local GOX thinning mobile ions dielectric defectivity ESD	G short	IG leak	IG leak	A, V, T
66	chip	SiO2<=4nm	GOX soft BD	surface roughness contamination high voltage lattice defects charge trapping local GOX thinning variation of oxide thickness mobile ions dielectric defectivity ESD	leak increase	IG leak	IG leak	A, V, T
75	chip	SiO2<=4nm	hot carrier injection (HCI) field induced injection and trapping of electrons in gate oxide near drain region of device	variation of oxide thickness variation in work function and dopant profile line edge roughness	ID, gm, Vth changes (increase or decrease depending on channel length)	ID subthreshold slope	PMOS IDS vs. VDS vs. VGS characterization	V (VDS, VGS); T; f
76	chip	PMOS gate dielectric	hot carrier injection (HCI) field induced injection and trapping of electrons in gate oxide near drain region of device	variation of oxide thickness variation in dopant profile line edge roughness	ID, gm reduction Vth increase	ID subthreshold slope	NMOS IDS vs. VDS vs. VGS characterization	V (VDS, VGS); T; f
61	chip	NMOS gate dielectric	IMD/ILD hard BD	contamination, CU-diffusion high E-field charge trapping local oxide thinning mobile ions ESD line edge roughness	G short	IG leak	IG leak	A, V, T
89	chip	IMD, ILD	Metal residues causing latent defects	metal scratch, litho defect	increased leakage current	defect inspection	leakage current	V
77	chip	Cu, AlCu(Si)	NBTI, charge trapping	process induced or pre-existing traps variation of oxide thickness variation in dopant profile surface roughness	increase in absolute value of Vth degradation of mobility	Vfh	PMOS IDS vs. VDS vs. VGS characterization	V (VDS, VGS); T; f; duty cycle
93	chip	PMOS gate dielectric esp. nitrided oxides NMOS gate dielectric; esp. nitrided oxides	PBTI, charge trapping	process induced or pre-existing traps variation of oxide thickness variation in dopant profile surface roughness	Increase in absolute value of Vth, decrease in Id	Vfh	NMOS IDS vs. VDS, vs. VGS characterization	V (VGS, VGD); T; f; duty cycle

2. One failure mechanism to be taken into account is gate oxide hard breakdown.
3. If the material is thick SiO₂ gate oxide soft break down can be ignored.

No	Sub system	Material	Failure mechanism	Failure cause	Failure mode	Detection Method	Character of Degrad	Affecting Operating Conditions
52	chip BD	SiO ₂ >4nm	GOX hard E-field lattice defects pinholes charge trapping local GOX thinning mobile ions dielectric defectivity ESD	surface roughness	G short	IG leak	IG leak	A, V, T

4. The potential effect on IC level is a gate-substrate short.

Failure mode
G short

5. The characteristic for detection and characterization is the same: the gate leakage current.

Detection Method	Character of Degrad
IG leak	IG leak

6. The extrapolation from test to product/application level must be done for the voltage, the temperature and the area, which means that temperature and gate-oxide area are the other two limiting factors for gate oxide reliability.

Affecting Operating Conditions
A, V, T

7. The optimum design of the test structure is a transistor array. For this test structure, the failure criterion of the gate leakage current must be specified.

Stress Method
transistor array or capacitor

8. Stress method for qualification is Time-Dependent Dielectric Breakdown (TDDB).

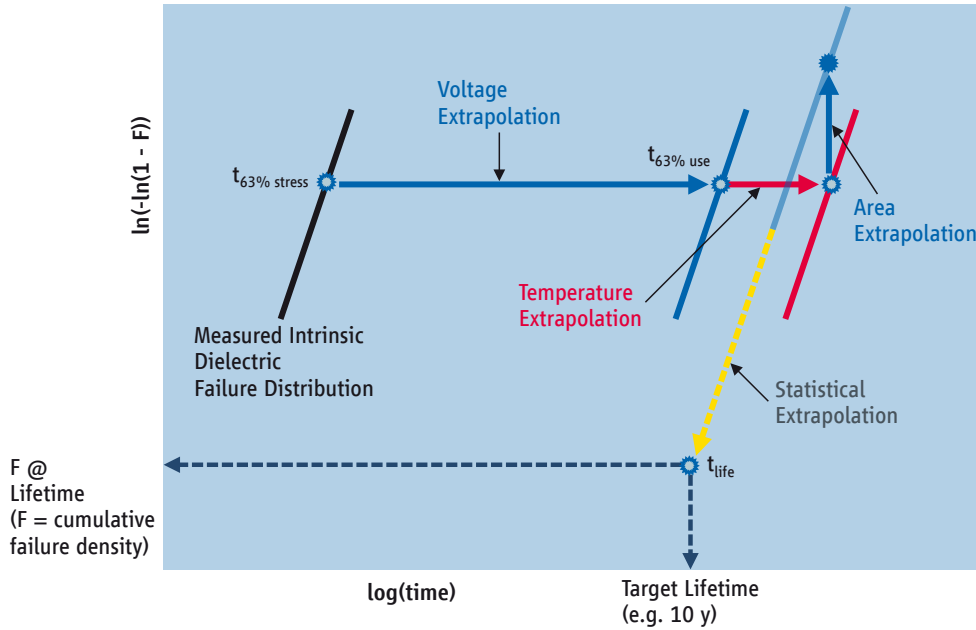
Stress Method
TDDB

9. At this point in time, an overview of all failure modes triggered by TDDB stress can be generated. The sum of all these aspects gives a full picture of the coverage of the failure mechanisms for the qualification plan.

10. For this particular example the physical model describing oxide breakdown is the percolation model and the acceleration model to be used should be the E-model, if gate oxide thickness less than 4nm. For additional details, see references in the Knowledge Matrix. Figure 8.1 illustrates how a cumulative failure distribution measured on a test structure must be transformed to the condition in the semiconductor component.

Ref (Stress Method)	Acceleration Model	Ref (accel model in JEP122F)
JP001	Percolation E-model	5.1.2.1

Figure 8.1 Extrapolation of Failure Distribution



8.3 Limits of Accelerated Reliability Testing

When creating a stress test plan certain physical and procedural limitations have to be taken into account.

8.3.1 Limited Load Capacity (Stressability) of Devices and Test Structures

Test structures are used because of their specific properties like:

- Sensitivity to a single failure mechanism
- Easy to analyze
- Easy to characterize and measure
- High load capacity (much higher than a normal product)

They are used in qualification tests which are designed to generate degradation under accelerated stress conditions in a very short time. The load one can apply to test structures is physically limited by the maximum value before the failure mechanism changes. Typical examples for these limits are:

- Local heating resulting in material structure changes, diffusion path changes
- Avalanche region of pn junctions for standard voltage acceleration
- Breakdown voltage of dielectrics if degradation is evaluated
- ESD failures if ESD is not the topic of investigation
- Current densities in EM tests of interconnects which generate melting

8.3.2 Library Elements

Library elements are also used in stress tests. Their specific properties are:

- Basic design elements
- Easy to analyze
- Easy to characterize and measure
- No overstress capability

They are used in qualification tests, which are designed to generate parameter degradation under elevated operating conditions in a short time. Their stress capability is limited because:

- No ESD protection
- Current density and voltage stress limited by design rules

8.3.3 Electronic Components (Products)

In some cases the electronic component is best suited for being used in a qualification tests due to the following properties

- Performance according to spec
- Robust under operating conditions
- Protection circuitry

Limits for the application of electronic components are:

- T_{stress} limited by mould compound or bonding
- V_{stress} limited by protection circuitry
- I_{stress} limited by voltage regulation
- Failure analysis limited by available resources
- Stress coverage of el function hard to evaluate

8.3.4 Limits of Application Range of Test Methods

Stress tests could be restricted to:

- Certain technologies
- Certain materials
- Certain parameter ranges

Example Helium Fine Leak Test:

- Designed to evaluate hermeticity of MEMS packages
- Perfect for metallic seals
- For polymer sealed packages of no use due to absorption properties of polymers

8.3.5 Limited Resources for Reliability Evaluation

Resources for reliability evaluation are limited because high level experts and test equipment are needed. On the other hand the project schedule limits the available time for these activities. Time when information for production decision has to be available is defined by market, not related to the complexity of the problem.

Therefore resources have to be concentrated on the most critical issues, preferably during the early phase of development. Activities which do not generate information have to be avoided. The trade-off between residual risk, costs and time-to-market has to be found for every product.

8.3.6 Limited Time for Implementation of Lessons Learnt

The number of new materials and process recipes increases with every new technology generation.

With every new material or process:

- The criticality of failure mechanisms have to be reviewed. New failure mechanisms are very rare, but what has been totally uncritical in the past can be a major issue in the future.
- The degradation model parameters have to be evaluated and verified.
- The statistical model has to be evaluated and verified.

- Stress test conditions have to be developed.
- Analysis technologies have to be developed.

The frequency of introducing new technologies stays constant or might increase in the future.

- The time for implementing the results of the new reliability methodology has to be used more efficient to reach the targets.
- The resources have to be focused.

8.3.7 Limited Knowledge on Models and Failure Mechanisms

Keep in mind that the qualification statement is statistical in nature:

- Extrapolation from stress to operating conditions
- The qualification statement describes the situation at a certain point in time
- Defects and maverick phenomena on low failure level have to be covered by containment activities

RV performed correctly generates the basic information to achieve ppm levels but qualification cannot demonstrate these levels statistically see also Section 9.5.

A lot of progress has been made to understand the physics behind the failures, but a continuous effort is needed.

9. Creation of the Qualification Plan

Each Qualification Plan consists of three basic elements:

- Characterization plan (Section 9.5)
- Reliability test plan (Section 9.2)
- Demonstration of manufacturability (Section 9.5.1)
- A basic consideration how to select the appropriate qualification strategy is described in section 9.1 using a flow created for AEC Q100/101

9.1 Relation to AEC-Q100/101 Stress Test Conditions and Durations

Note:

Direct references from AEC Q100 are in Italic. Similar statements can be found in AEC Q101.

In the early phase of a development project a decision has to be made on the appropriate qualification strategy and the standard to be applied.

“Two flow charts are available to facilitate both Tier 1 and Semiconductor Component Supplier in a reliability capability assessment:

- *The flow chart in figure 9.3, describes the process at Semiconductor Component Supplier to assess whether a new component can be qualified according to AEC-Q100/101.*
- *The flow chart in appendix E, describes (for details see Handbook for Robustness Validation of Automotive Electrical/Electronic Modules, ZVEI)*
 - *(1) the process at Tier 1 to assess whether a certain electronic component fulfills the requirements of the mission profile of a new Electronic Control Unit (ECU), and*
 - *(2) the process at Semiconductor Component Manufacturer to assess whether an existing component qualified according to AEC-Q100/101 can be used in a new application.*

In summary, the flow charts result in the following three clear possible conclusions:

- A] *AEC-Q100/101 test conditions do apply.*
- B] *Mission Profile specific test conditions may apply.*

- C] *Robustness Validation may be applied with detailed alignment between Tier1 and Semiconductor Component Manufacturer.*

In addition, not shown in the flow charts, the expected end of life failure probability may be an important criterion. Regarding failure probabilities, the following points should be considered:

- *No fails in 231 devices (77 devices from 3 lots) are applied as pass criteria for the major environmental stress tests in AEC Q100/101. This represents an LTPD (Lot Tolerance Percent Defective) = 1, meaning a maximum of 1 % failures at 90 % confidence level.*
- *This sample size is sufficient to identify intrinsic design, construction and/or material issues affecting performance.*
- *This sample size is NOT sufficient or intended for process control or PPM evaluation. Manufacturing variation failures are kept under control by proper process controls and/or screens such as described in AEC-Q001, -Q002.*
- *Three lots are used as a minimal assurance of some process variation between lots. A monitoring process has to be installed to keep process variations under control.*
- *Sample sizes are limited by part and test facility costs, qualification test duration and limitations in batch size per test.*

A detailed description of flow chart steps is given below (numbers refer to these specific flow chart steps).”

9.1.1 Basic Assessment

1.1 Items to consider in constructing a Mission Profile Assessment:

- Type of application
- Requirements of service life and usage
- Environmental conditions / Mounting location
- Construction of the ECU
- Power Dissipation of ECU and components
- Reliability requirements in terms of lifetime and related failure probabilities

A structured analysis of the mission profile will identify potential reliability risks in an early stage of development cycle, so that these risks can be addressed by appropriate component selection and validation.

1.2 Translation of ECU mission profile to component mission profiles, taking different loading on component level into account. An overview of loads during component life cycle is given in figure 9.1. For details see section 5.

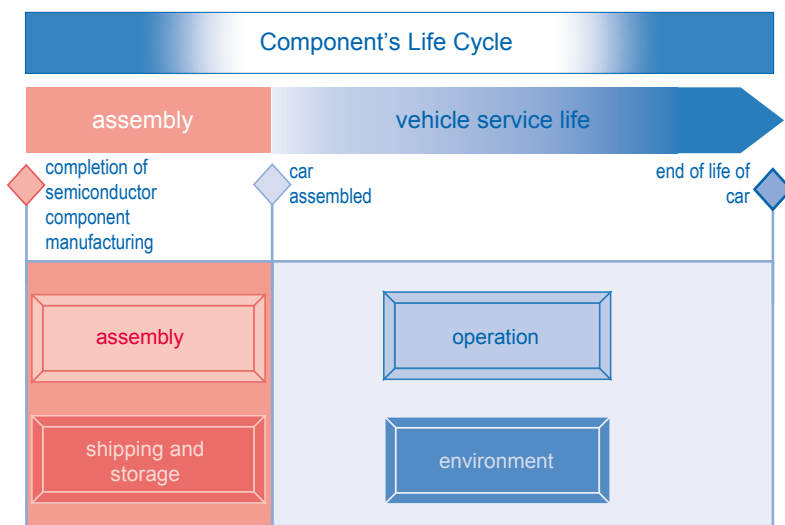


Figure 9.1 Loads during Component Life Cycle after Completion of Semiconductor Component Manufacturing

Vehicle service life is typically split into operating and non-operating parts. An example is given in figure 9.2.

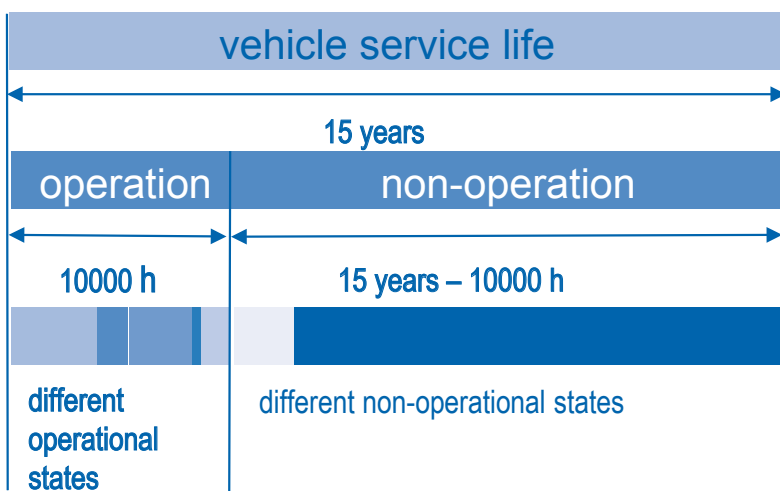


Figure 9.2 Example of Vehicle Service Life Requirements

1.3 Performance of 'basic calculation' facilitate the assessment via a high level check of the criticality of the mission profile in a given application.

These calculations enable the translation from the component mission profile to equivalent qualification test duration under specified conditions. The decision to be made here is strategic.

- Chose no if already known that product is marginal or critical.
- Chose 'yes' for uncritical product e. g. with references to already qualified products and being not at the extremes of its specification.

1.4 By applying the 'basic calculation', the mission profile is translated into an equivalent stress with the same conditions as the qualification standard test. Commonly accepted acceleration models and parameters are used and can be taken from the literature and/or standards (e. g., JEP122). Guidance how a test condition is generated from a mission profile with different temperatures can be found in appendix F. Examples how to do the calculation per temperature are given in table 9.1.

1.5 This calculated stress duration t_{CALC} (in hours or number of cycles) has to be compared to the standard qualification duration t_{STAND} taking a safety margin t_{SM} into account.

1.6 In case $t_{STAND} > t_{CALC} + t_{SM}$, the component is assumed to be not critical/marginal. The safety margin t_{SM} has to be defined based on the application and customer requirements; there are no standardized rules for this.

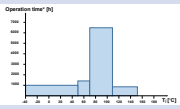
Assessment of criticality shall include the probability of failure until end-of-life.

The possibility to perform the qualification according to AEC Q100/Q101 or determine if additional testing and/or data is required, because the combination of this component in this application is critical or marginal, determines the next step. The choice is:

- Yes: It is critical or marginal, requiring further analysis as to what new tests/conditions/data are required for qualification
- No: The standard AEC Q100/Q101 requirements are appropriate for this application/component combination

A: Conclusion: perform qualification according to AEC Q100/Q101 test conditions

Table 9.1 Examples for Basic Calculation: test durations based on single conditions from Mission Profile

Loading	Mission Profile Input	Stress Conditions	Acceleration Model (all temperatures in K)	Model Parameters	Calculated Test Duration
Thermal	 <p>t_u (use time) and T_u (use temperature) from example in annex F</p>	$T_t = 150\text{ }^\circ\text{C}$ (junction temperature in test environment)	Arrhenius $A_f = \exp\left[\frac{E_a}{k_B} \cdot \left(\frac{1}{T_u} - \frac{1}{T_t}\right)\right]$	$E_a = 0.7\text{ eV}$ (activation energy; 0.7 eV is a presumed value, actual values depend on failure mechanism and range from -0.2 to 1.4 eV) $k_B = 8.61733 \times 10^{-5}\text{ eV/K}$ (Boltzmann's Constant)	$t_t = 1695\text{ h}$ (test time) $t_t = \frac{t_u}{A_f}$
Thermo-mechanical	<p>$n_u = 54,750$ cls number of engine on/off cycles over 15 years of use)</p> <p>$\Delta T_u = 76\text{ }^\circ\text{C}$ (average thermal cycle temperature change in use environment)</p>	$\Delta T_t = 205\text{ }^\circ\text{C}$ (thermal cycle temperature change in test environment: -55 °C to 150 °C)	Coffin Manson $A_f = \left(\frac{\Delta T_t}{\Delta T_u}\right)^m$	$m = 4$ (Coffin Manson exponent; 4 is a presumed value and to be used for cracks in hard metal alloys, actual values depend on failure mechanisms and range from 1 for ductile to 9 for brittle materials)	$n_t = 1034$ cls (number of cycles in test) $n_t = \frac{n_u}{A_f}$
Humidity & Temperature	<p>$t_u = 3,000\text{ h}$ (engine off time over 15 years of use)</p> <p>$RH_u = 91\text{ }%$ (average relative humidity in use environment)</p> <p>$T_u = 27\text{ }^\circ\text{C}$ (average temperature in use environment)</p>	<p>$RH_t = 85\text{ }%$ (relative humidity in test environment)</p> <p>$T_t = 85\text{ }^\circ\text{C}$ (ambient temperature in test environment)</p>	Hallberg-Peck $A_f = \left(\frac{RH_t}{RH_u}\right)^p \cdot \exp\left[\frac{E_a}{k_B} \cdot \left(\frac{1}{T_u} - \frac{1}{T_t}\right)\right]$	<p>$p = 3$ (Peck exponent, 3 is a presumed value and to be used for bond pad corrosion)</p> <p>$E_a = 0.8\text{ eV}$ (activation energy; 0.8 eV is a presumed value)</p> <p>$k_B = 8.61733 \times 10^{-5}\text{ eV/K}$ (Boltzmann's Constant)</p>	$t_t = 24.5\text{ h}$ $t_t = \frac{t_u}{A_f}$

9.1.2 Mission Profile Validation on Component Level

2.1 The recommended base for assessing the critical failure mechanism(s) is the Robustness Validation Knowledge Matrix or JEP122. Risk assessment should be performed covering at least the following main considerations:

- New materials or interfaces
- New design or production techniques
- Critical use conditions

Methods for risk assessment could be FMEA (AIAG Manual), Risk Assessment, FTA or similar.

2.2 In case acceleration models are in use in the company or known from the literature, they can be taken to perform lifetime calculations. Experiments, simulation, or literature study can be used to create such acceleration models. Sufficient acceleration may be impossible due to limiting physical boundary conditions. In such a case minimum stress times should be defined to demonstrate sufficient robustness margin, (e. g., based on change or degradation of any electrical or physical properties during or after stress and the impact on the specific application).

2.3 The acceleration model is used to calculate the acceleration factor for the standard stress condition. This in return gives the calculated minimum required stress time t_{CALC} (in h or number of cycles) to demonstrate reliability without failures. Two examples for thermal and thermo-mechanical loading are described in table 9.2. It is assumed that the failure mechanisms listed in column 4 are critical for the intended application with the mission profile described in column 2. Column 3 gives a proposed stress test and condition. The calculated test duration in the last column refers to this stress condition. The standard test condition has to be adapted to these test times. An example for an additional test, which is not covered by standards like AEC Q100, is listed in table 9.3. It should be done in addition to standard testing.

2.4 A comparison with the standard qualification duration t_{STAND} is to be made. In case $t_{STAND} > t_{CALC} + t_{SM}$ the component is assumed to be not critical/ marginal. The robustness margin t_{SM} has to be defined based on the application and customer requirements. Assessment of criticality shall include the accumulated failure probability until end-of-life. Criteria for a decision shall include not only test conditions and durations as compared to the standard, but also coverage of critical failure mechanisms by the tests. Such coverage considerations include applicability of assumptions used in calculating the stress conditions, such as variation of the activation energy for different failure mechanisms. Beyond that it has to be assessed, if particular failure mechanisms are addressed by the standard test method at all. A case in point is active cycling of power devices, which is not adequately addressed by standard qualification tests. In addition, specific requirements regarding fail probabilities may not be covered by standard test procedures. MIM capacitors, for instance, are known to fail due to extrinsic defects. A requirement of, e. g., less than 100 ppm for extrinsic failures is not covered by standard tests and sample sizes. The assessment should be aligned with Tier1.

2.5 In case the component standard qualification is not sufficient the supplier may define additional tests on product level or change the test conditions to close the gap between Q100/101 coverage and mission profile requirement.

2.6 The possibility to create additional data (Flow Chart 1) or show that additional data is not critical/ marginal determines the next step. The choice is

- Yes: It is possible to find additional tests on product level or to change the test conditions to close the gap between Q100/101 coverage and mission profile requirement.
- No: It is not possible to demonstrate the fulfillment of the reliability requirements according to mission profile by a test on product level.

B: Conclusion: Testing must be performed according to mission profile specific test conditions. This means, that standard tests are used with adjusted test times and different test conditions e. g. higher temperature.

Table 9.2 Examples for Calculation with Selected Failure Mechanisms: Test durations based on single conditions from Mission Profile. For details of model parameters see JEP122, characterization test for these parameters are recommended.

Loading	Mission Profile Input	Stress Conditions	Critical failure mechanism	Acceleration Model (all temperatures in K)	Model Parameters	Calculated Test Duration
Thermal	t_u and T_u from example in annex F	$T_t = 150\text{ }^\circ\text{C}$ (junction temperature in test environment) Test: HTOL or TS	Lifted glassivation	Arrhenius $A_f = \exp\left[\frac{E_a}{k_B} \cdot \left(\frac{1}{T_u} - \frac{1}{T_t}\right)\right]$	$E_a = 0.42\text{ eV}$ $k_B = 8.61733 \times 10^{-5}\text{ eV/K}$ (Boltzmann's Constant)	$t_t = 2860\text{ h}$ (test time) $t_t = \frac{t_u}{A_f}$
Thermal	t_u and T_u from example in annex F	$T_t = 150\text{ }^\circ\text{C}$ (junction temperature in test environment) Test: HTOL	Cu ion drift	Arrhenius $A_f = \exp\left[\frac{E_a}{k_B} \cdot \left(\frac{1}{T_u} - \frac{1}{T_t}\right)\right]$	$E_a = 1.0\text{ eV}$ $k_B = 8.61733 \times 10^{-5}\text{ eV/K}$ (Boltzmann's Constant)	$t_t = 1209\text{ h}$ (test time) $t_t = \frac{t_u}{A_f}$
Thermo-mechanical	$n_u = 54,750$ cls number of engine on/off cycles over 15 years of use $\Delta T_u = 76\text{ }^\circ\text{C}$ (average thermal cycle temperature change in use environment)	$\Delta T_t = 205\text{ }^\circ\text{C}$ (thermal cycle temperature change in test environment: $-55\text{ }^\circ\text{C}$ to $150\text{ }^\circ\text{C}$), Test: TC	Wire bond fracture Au-Al-intermetallic	Coffin Manson $A_f = \left(\frac{\Delta T_t}{\Delta T_u}\right)^m$ Low cycle fatigue, for details see JESD22-A104	$m = 4$	$n_t = 1034$ cls (number of cycles in test) $n_t = \frac{n_u}{A_f}$
Thermo-mechanical	$n_u = 54,750$ cls number of engine on/off cycles over 15 years of use $\Delta T_u = 50\text{ }^\circ\text{C}$ (average thermal cycle temperature change at solder joint)	$\Delta T_t = 165\text{ }^\circ\text{C}$ (thermal cycle temperature change in test environment: $-40\text{ }^\circ\text{C}$ to $125\text{ }^\circ\text{C}$), Test: TC	Solder joint fatigue (die attach)	Coffin Manson $A_f = \left(\frac{\Delta T_t}{\Delta T_u}\right)^m$ Low cycle fatigue, for details see JESD22-A104	$m = 2$	$n_t = 5028$ cls (number of cycles in test) $n_t = \frac{n_u}{A_f}$

Table 9.3 Example for an Additional Test Required by Specific Application

Loading	Mission Profile Input	Stress Conditions	Critical failure mechanism	Acceleration Model (all temperatures in K)	Model Parameters	Calculated Test Duration
Thermo-mechanical	$n_u = 11,000$ cls number of cold starts over 15 years of use $\Delta T_u = 80$ °C (average thermal cycle temperature change in use environment)	$\Delta T_t = 165$ °C (thermal cycle temperature change in test environment: -40 °C to 125 °C), Test: TC	2 nd level (board level) solder joint fatigue	Norris-Landzberg $A_f = \left(\frac{\Delta T_t}{\Delta T_u}\right)^a \left(\frac{t_t}{t_u}\right)^b \exp\left[c\left(\frac{1}{T_{\max,u}} - \frac{1}{T_{\max,s}}\right)\right]$ Modification according to Pan N. et al, Proc. SMTA, 2005	$a = 2.65$ $b = 0.136$ $c = 2185$	$n_t = 865$ cycles (number of cycles in test) $n_t = \frac{n_u}{A_f}$

9.1.3 Robustness Validation on Component Level

C: Conclusion: Testing must be performed according to mission profile requirements following the Robustness Validation strategy with focus on critical failure mechanisms and measuring failure distributions. The test plan shall be aligned between CM and Tier 1.

Process for qualification plan generation based on mission profile:

- a. List all conditions, operating, non-operating, production or transport with all relevant parameters like temperature, temperature cycles, humidity or other and the correspondent time the condition applies.
- b. For a temperature mission profile all periods with identical temperatures are summarized. An example for one condition of a temperature mission profile is given in line 1 of table 9.1. For a predefined stress condition each of the conditions can be quantified using the acceleration model, here the Arrhenius model. The result is the equivalent stress time.
- c) For a temperature cycling and a humidity-temperature example the calculation is shown in line 2 and 3 using the Coffin-Manson and Hallberg-Peck model.

9.1.4 Application Note

It should be taken into account, that a Qualification plan could consist out of tests from all three approaches A-C depending on the failure mechanisms to be covered and the technical capabilities.

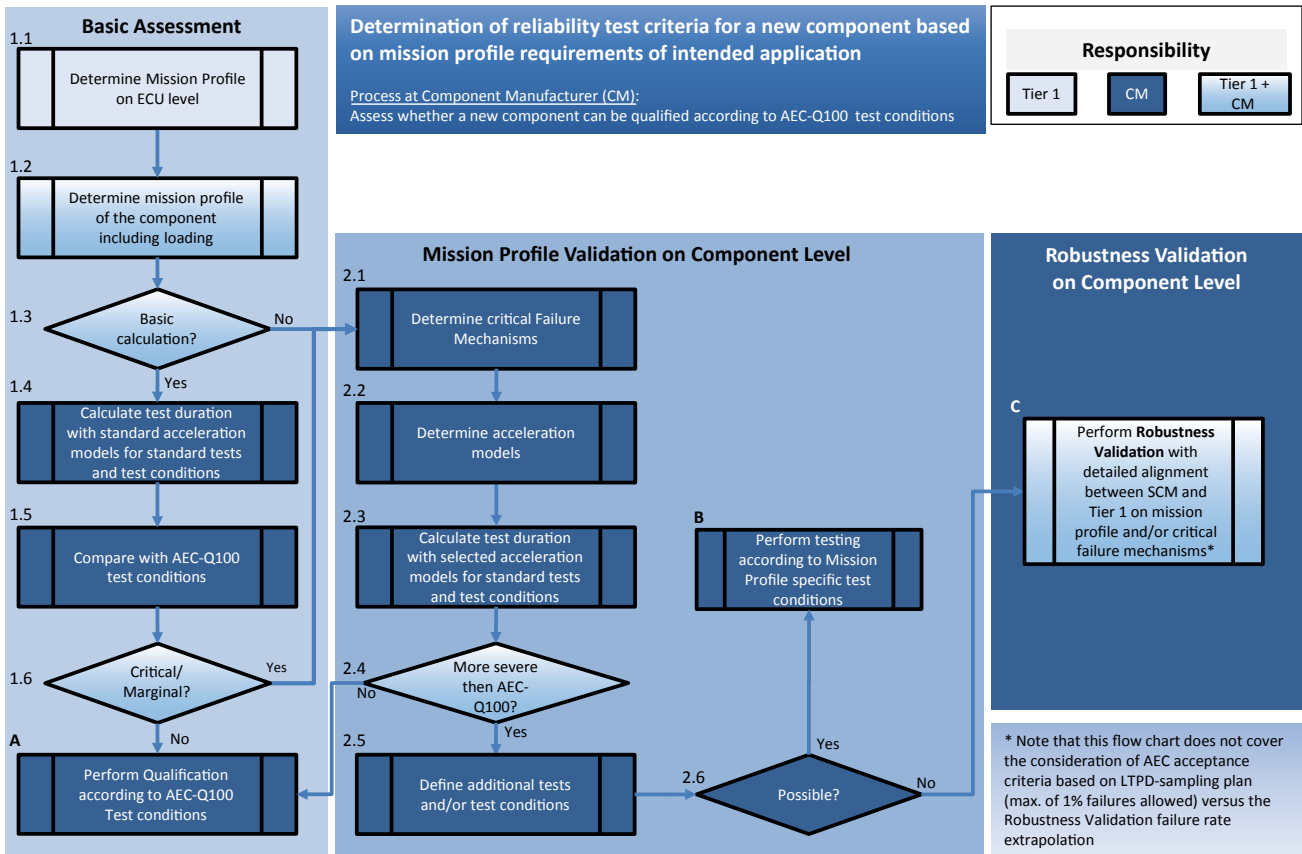


Figure 9.3 Flow Chart 1 – Reliability Test Criteria for New Component

9.2 Reliability Test Plan

All failure mechanisms that have been identified as potential risks must be addressed by reliability data. Information already existing from previous investigations or data from the development work could be used to confirm low risk levels (see also Section 6). The applicability of generic data must be demonstrated. Some types of input to the Qualification Plan could be extracted from the knowledge database:

- The test structure that could be used for reliability characterization. Circuits, sub circuits, library elements, or the complete semiconductor component should be considered as the appropriate test structure. Criteria such as availability or analysability should also be taken into account.
- The stress method that could be used to address and accelerate the failure mechanism.

Special attention must be given to the failure rate of the specific test structure. There is no generic rule about the manner in which this number is calculated from the failure rate target of the product because of the potential difference in the failure paretos. If, for example, one failure mechanism dominates the failure rate of the product, the assumption that more than 50 % of the product failure rate may be due to this dominant mechanism is reasonable. However, if several failure mechanisms have comparable failure rates, the product failure rate must be distributed among them. For the assessment of reliability, the Qualification Plan shall contain the following information for every stress test:

- Targeted failure mechanism(s), including an explanation of relevance (give rationale if the typical failure mechanisms are rated as irrelevant).

- Acceleration model used.
- Vehicle (= test structure): The test structure must be representative of or related to the product design and the application conditions that the product may experience in the field. This may require detailed documentation.
- Stress method.
- Stress conditions (parameterization of the stress test): Stress conditions must be optimized with respect to the failure mechanism to be addressed.
- Sample size or number of lots: Qualification shall provide statistically valid data for the demonstration of intrinsic failure mechanisms. Failure rates in the range of ppm at the product level cannot be demonstrated in the qualification phase.
- Parameter for characterization (P) of the test structure.
- Method of failure analysis for characterization, if needed.
- Fail criteria (P_{fail}) or acceptance criteria
- Readout times or intervals and criteria for the end of test.

In certain instances, reliability validation may also require verification at the ECU level. This can only be accomplished by the user of the component and requires agreement and cooperation between the manufacturer of the component and the user.

An example and template that includes these elements in a qualification plan is shown in Appendix A. For every reliability characterization, a target value is needed as a gate to separate the failure case from the expected performance according to its requirement. This target value is applied to the parameter P that is used for characterization of the degradation during stress. In some cases, P_{target} is not directly defined as a requirement. In such cases, the relationship between the requirement and P must be known. The target value could be a lower or upper limit or a range and shall include the relevant tolerances.

The characterization column of the Knowledge Matrix indicates which parameter should be measured during stress to generate the degradation over time. To calculate the lifetime under stress conditions, a fail criterion must be defined that is related to the requirements or the spec values. Examples for degradation parameters are:

- Leakage current for gate oxide related failure mechanisms.
- Resistance for electro- and stress migration.
- Transistor parameters (such as threshold voltage, drain current or transconductance).

Acceleration may be limited due to items such as competing failure mechanisms or the intrinsic robustness of the system or design. An insufficient number of failures may occur during economically acceptable test duration (for example, due to physical boundary conditions). There is no generic solution that fits all cases, but potential options are:

- Choose more sensitive failure criteria and correlate the results.
- Increase the sample size and stop the test after the first part of the failure distribution has been measured.
- When only a portion of the distribution fails, the statistical solution for lognormal distributions is described in JESD 37; for other cases, see e. g. [15].
- If there is no failure, one could make the following assumptions and estimate the lifetime of the failure mechanism:
 - Use a known model and typical parameters (such as for lognormal distribution).
 - Assume that the first device under test fails right at the end of stress time.

Before performing many expensive and time-consuming qualification tests, it should be determined whether data are already available that demonstrate the robustness of the semiconductor component with respect to a certain failure mechanism. These generic data could have been generated by testing an object different from the one under discussion, but the data may be valid for a group of objects. An object could be a semiconductor component, a package, a wafer – or a package technology.

These groups of objects – called qualification families – could consist of wafer technologies or parts of it, assembly technologies or parts of it, packages, or semiconductor components with similar functions, specifications or application conditions. The relevance of the application of generic data must be supported by other documents or data.

A qualification family will be defined by its manufacturing attributes (material, site and processes).

Examples:

- A die family will be defined by its wafer fab attributes.
- A package family will be defined by its assembly attributes only.

Family definitions, test results and the applicability of those must be clearly communicated to the customer.

Example for one failure mechanism – electromigration:

A certain functionality required by the customer of an electronic component can be achieved only if the product has a certain complexity. The minimum feature size of the technology could be defined from the required complexity. This minimum feature size is associated with a maximum current density in interconnects, which together with a corresponding lifetime and failure rate, is a reliability target for the reliability qualification of the technology. The failure mechanism related to current density is electromigration. The failure criterion is defined by the maximum resistance change tolerated by the design of the product. In this case, the critical parameter for reliability qualification is $\Delta P = \Delta R$. By applying reduced current densities to a design, the target failure rate could be reduced or the lifetime could be prolonged by keeping the failure rate constant.

9.3 Definition of a Qualification Family

9.3.1 Wafer Fab

All semiconductor components using the same technology, process and materials with common major elements (such as 90 nm effective channel length, Cu metallization, intermetal dielectric material, shallow trench isolation), are categorized as one qualification family and are qualified by association when one family member is successfully qualified. Family re-qualification is required when the process or material is changed significantly (major process changes). Typical considerations for wafer fab process descriptions are: design rules, lithography technique, metallization, polysilicon, dielectrics etc.

9.3.2 Assembly Processes

The processes for plastic and hermetic package technologies must be considered and qualified separately. All semiconductor components using the same process and materials, with common major elements (such as biphenyl mold compound, Alloy 42 leadframe material, Pb-free lead plating), are categorized as one qualification family and are qualified by association when one family member is successfully qualified. Family re-qualification is required when the process or material is changed significantly (major process changes). Typical considerations for assembly process description: leadframe, die attach, package material, bonding, external lead finish etc.

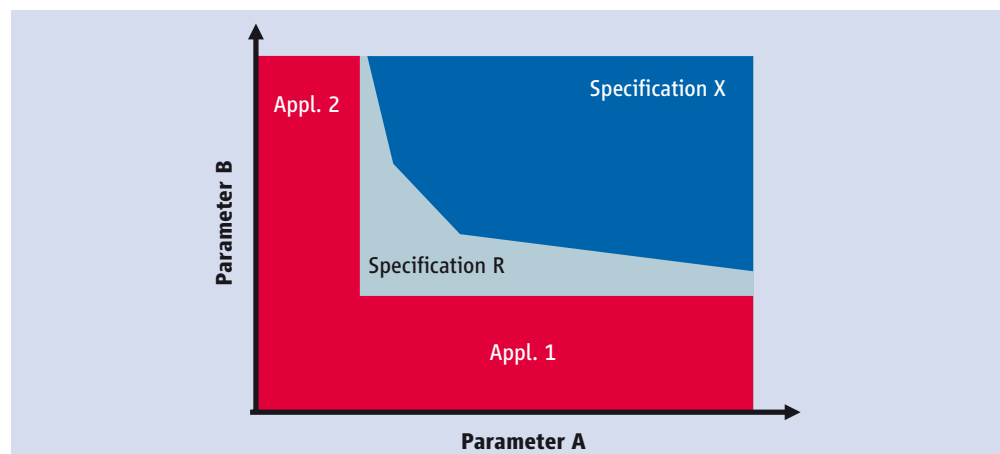
9.4 Qualification Envelope

For ASICs, the alignment of requirement with the specification of technology and semiconductor component can be done by direct correlation. For cases in which a broad spectrum of applications must be addressed, an adapted approach for generating the qualification plan must be applied. Taking the worst-case values for each specified characteristic separately is one way to create this envelope (specification X in Figure 9.4). In many cases, however, this procedure leads to overly conservative specification, unnecessarily increasing the cost of development and qualifications. It is advantageous to define the envelope in more detail to generate an efficient solution (specification R). Figure 9.4 illustrates the difference between the two approaches for two spec parameters A and B.

The more efficient approach fulfils the same requirement from Applications 1 and 2 and prevents over-engineering.

In cases where specific applications are not known, it becomes a strategic decision as to how to define the spec area of the semiconductor component and the correspondent qualification envelope. The trade-off between costs, Robustness Margin, and spec area must be found based on generic market information. In this case, the robustness of the semiconductor component must be measured with respect to this generic specification, so that the robustness for an intended application

Figure 9.4 Specification Options for Different Applications



can be calculated in the process of choosing a semiconductor component for a specific module design. This means, for instance, that the same product could fit into a safety relevant application with lower spec values and high robustness and fit into an uncritical application with a higher spec value and lower Robustness Margin.

9.5 Characterization Plan

The Characterization Plan should include the plan for material and testing to ensure the functionality of the product over all production variations and all temperatures and voltage ranges. Testing should be at both spec limits and beyond (as appropriate) to determine the margins. The plan should include process variability (that is, corner lot details) to show the range of production material. Data should be statistically summarized to show C_{pk} of each parameter.

Any data from previous characterizations is also useful. The purpose of this is to make sure that the design and production are capable of maintaining specified C_{pk} values for all specified parameters.

9.5.1 Process Characterization

Note:

The user shall not exceed agreed upon specification limits under any circumstances. Characterization beyond specification limits is for information on robustness only. If at any time a part is found to operate, during the application, beyond the agreed upon limits, requires agreement by both parties, especially legal and financial indemnification to the supplier on the part of the user.

Process variability characterization may take the form of process corner matrix lots containing groups of wafers that have one or more process steps varied by plus or minus several sigmas. If process variations cannot be produced in a dedicated manner, test samples may be selected by using SPC data to identify wafers or test samples near or beyond the limits. The chosen process steps should be known to directly correlate to specific functions or

electrical parameters affecting the performance of the device in a given application. The packaged devices from this material can then be assembled into an automotive system to understand which region of the process space yields the best performing devices. This type of characterization should be performed for new supplier semiconductor component designs that have little or no relation to previous designs, the first automotive application of an existing semiconductor component design, or a more demanding automotive application for an existing design. The characterization requirements may be reduced if appropriate data exists from other project(s).

1. Determine relevant failure modes of the semiconductor component that can affect the application.
2. Correlate these failure modes with the corresponding process parameters that affect them.
3. Determine if there is independence between the failure modes and the corresponding process parameter. If not, a design-of-experiments may need to be performed.
4. Assign statistically significant sample sizes to each process corner split when performing electrical testing.
5. Record variable electrical parameter data over extremes of temperature, voltage, frequency, and/or loading. The variables data for each parametric test should include a summary of mean, standard deviation, minimum, maximum, C_{pk} and upper and lower spec limits for each process corner and extreme sampling.

These variability considerations should be done by appropriate simulations of critical sub circuits. Typical characterization plans may include the demonstration of process variability. This may be in the form of a corner lot plan including device parameters (threshold voltage V_t , effective channel length L_{eff} , etc.) to be varied and the expected effect on semiconductor component performance. The yield values shall be assessed with respect to the target yield and potential yield detractors should be used as a starting point for continuous improvement planning.

9.5.2 Device (Semiconductor Component) Characterization

The characterization conditions depend on the component under consideration. For digital circuits, typical characterization plans may include:

- Min/Max operating parameters – tests that find min/max conditions for supply voltage, bus timing, frequency, etc.
- Margin testing – voltage will be characterized to find the potential fail levels (I/O levels).
- Current level characterization – measure all leakage currents (I_{dd} etc.) to determine margins to the specification.
 - Power supply current level characterization – measure I_{ddq} and ΔI_{ddq} to determine static test pattern and power supply current margins to the spec.
 - Leakage current level characterization – measure all leakage currents. Measuring leakage as a condition of connecting V_{cc}/GND directly to CMOS gate and determining the spec limit, in order to detect potential defects, helps to improve robustness.
- PLL characterization (stabilization time, lock, jitter).
- Oscillator parametric tests.

9.5.3 Production Part Lot Variation Characterization

Production parts from a centered process can be characterized over temperature, voltage, frequency and loading to understand its inherent variance. Devices from specific parametric extremes can then be assembled into a system to observe if the process centering yields weak regions in the process space. This type of characterization can also be used if process corner matrix lot characterization proves to be too expensive or time consuming for the anticipated benefit in yield and performance.

1. Decide on a statistically significant sample size if the entire lot is not to be tested.
2. Record variable electrical parameter data over extremes of temperature, voltage, frequency, and/or loading for each

part tested. The variables data for each parametric test may include a summary of mean, standard deviation, minimum, maximum, C_{pk} and upper and lower spec limits for each extreme sampling.

3. If attribute data is to be taken, electrical tests over extremes of temperature, voltage, frequency and/or loading may result in Schmoos diagramming the functional parameter space within which the part will operate.

9.6 Sample Size and Basic Statistics

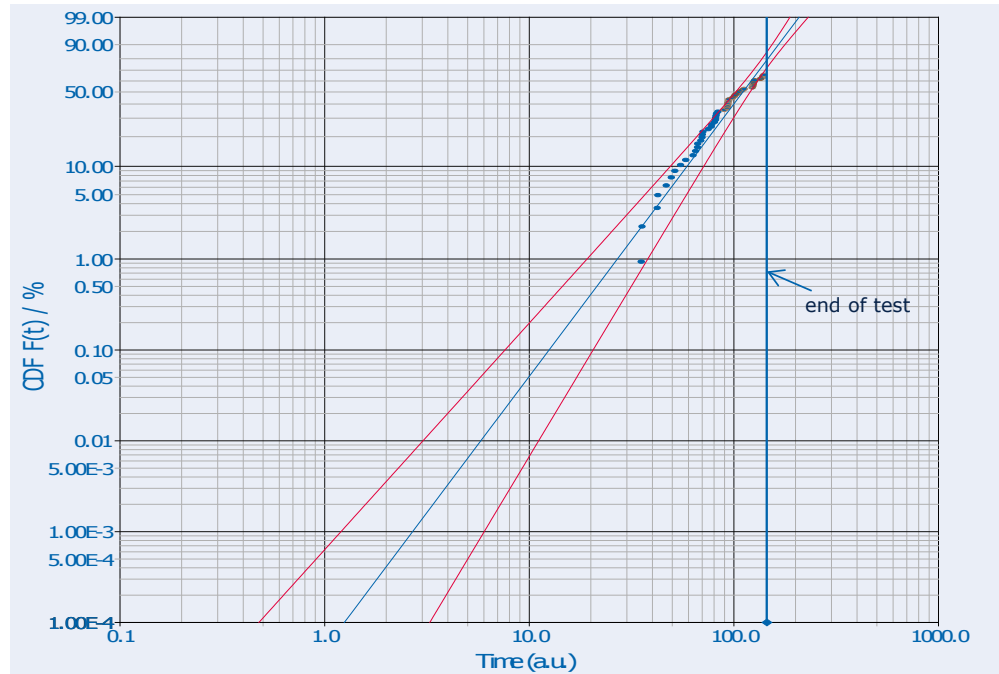
Typically sample sizes available for qualification are small compared to the failure target which should be achieved under high volume production of the electronic component. A Zero-Fail at stress strategy is only able to demonstrate that catastrophic problems are not expected to happen. This can easily be seen in Table 9.4 where the number of test devices are listed which are needed to demonstrate a certain failure number with 90 % confidence assuming that after the test no failure is detected. To give an example: 0/77 failures at 90 % CL demonstrates 30,000 dpm failures.

Table 9.4 Required Sample Size for Different Failure Targets Assuming 90 % CL

samples	failure (dmp)
4	100,000
231	10,000
462	5,000
2304	1,000
4606	500
23027	100
115130	20
232600	10

A failure distribution as shown in Figure 9.5 with 50 failed devices out of 77 samples could be used for extrapolating down to the ppm target level at a specific stress test time.

Figure 9.5 Example of a Weibull Probability Plot for 50/77 Failed Devices Including 90 % Confidence Curve



Besides the knowledge on the failure mechanism the RV concept generates statistical data, for a Weibull distribution the shape and the scale parameter (t_{63}), to perform more powerful statistical analysis compared to the zero fail approach. Calculation of the 90 % CL curve of the extrapolation is also possible.

Note:
The RV Method generates statistics knowledge which could be used for better risk analysis.

With known acceleration factor the extrapolation from stress to operating condition could be done.

10. Stress and Characterization

The stress tests must be performed according to the requirements specified in the Qualification Plan. The equipment must satisfy the requirements with respect to the stress test parameters as defined in the Qualification Plan, and the tolerances of the parameters must be known. The reference column of the Knowledge Matrix contains a detailed description of the method and how to perform the test.

Characterization data must be completely logged for all readouts. The test at readout must comprise the full program. There must not be a stop-on-first-fail nor must parameter values be substituted by error log values. The latter should only help to identify problems during testing. The parameter values will be needed for further drift/fail analysis. Critical parameters may be monitored continuously during the entire characterization procedure in order to react quickly and in a focused way in case of failures. The measurement frequency must be adapted to the level of acceleration.

Note:
It is not useful to log parameters not related to the applied stress for all readouts.

The output of the qualification test shall be documented with the parameters listed:

- $P = P(t)$, the change of the parameter over the time of stress if there is a continuous degradation or
- $P_i = P(t_i)$, if there are discrete readout intervals, denoted by the subscript i .
- Fail distribution (TTF) under stress condition.
- Confirmation is needed that the intended failure mechanism has really been activated. Different failures that may occur but are not correlated to the addressed failure mechanism must receive special attention and must be treated separately in the lifetime/risk assessment. Such failure mechanisms may show up as irregularities in the degradation curve or failure distribution, such as bimodality.
- Model used to convert results from stress test to lifetime at use conditions.
- Other factors that must be taken into account, such as duty cycle.

Based on this information, the lifetime per failure mechanism can be calculated:

- Lifetime under stress conditions t_{stress}
- Lifetime under use conditions t_{use}

In case of an unexpected behavior, updating the knowledge base must be taken into consideration. In cases where only a comparison is needed, a qualitative evaluation could be used. This procedure requires knowledge from previous RV.

Note:
In some cases, only the time to (catastrophic) fail is recorded (example: in many cases, TC leads to catastrophic failures; for example, electrical failure due to cracking, that cannot be observed during degradation, that is the crack initiation and propagation). In such cases, only the time to fail, t_f , is available.

11. Robustness Assessment

The robustness assessment must be done separately for each identified failure mechanism using the Knowledge Matrix when the potential risks and failure mechanisms for this qualification were assessed. Failure mechanisms that were not identified but did occur in the qualification will also be assessed for robustness. The robustness assessment is done by compiling a robustness diagram and comparing stress test and characterization data to the requirements.

11.1 Lifetime as a Function of Stress Value

During reliability qualification, the reliability characteristic P has been measured over time as a function of the stress value S_i (see Figure 11.1).

Examples for such degradation curves could be:

- Resistance degradation at various current densities and temperatures
- Degradation of the 1-level vs. read/write cycles of non-volatile memories

- Degradation of the small signal gain of a common source amplifier at various stress voltages
- Degradation of the output current of a current source at various stress temperatures

Whenever the degradation curve reaches the failure criteria P_{target} the lifetime $t_p(S_i)$ corresponding to the stress value S_i is determined.

A basic guideline giving details on how to generate and use a failure distribution has been published by ZVEI in 2012 (see Appendix D3, How to measure lifetime for Robustness Validation – step by step)

11.2 Determine Boundary of the Safe Operating Area

The boundary of the safe operating area can be calculated from the stress lifetime values $t_p(S_i)$ (see Figure 11.2). Stress/time values below the measured curve do not result in a failure; values on and above the curve will result in a failure.

Figure 11.1 Reliability Characteristic as a Function of Time

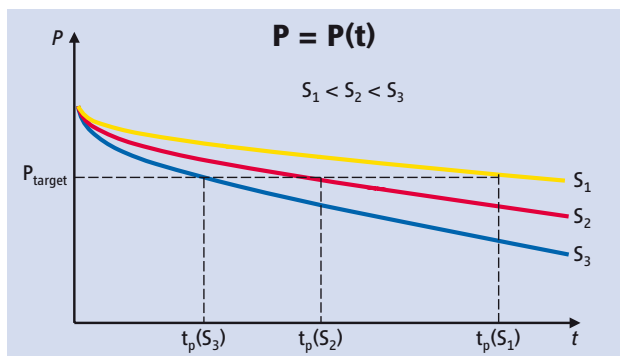
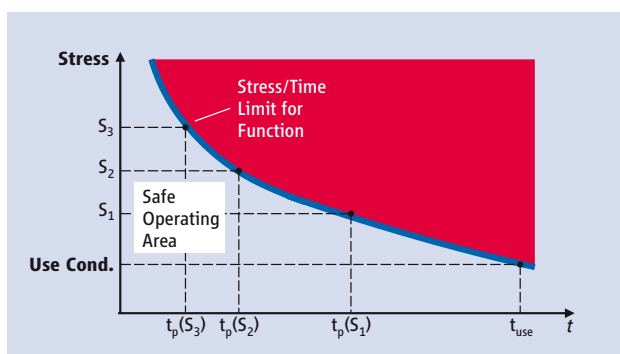


Figure 11.2 Safe Operating Area



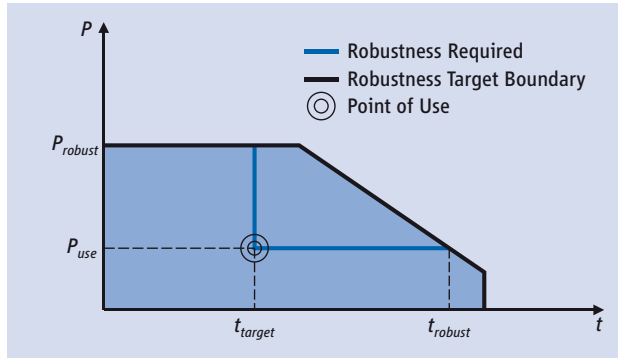
The stress-lifetime curve can be extrapolated to use conditions if the acceleration model is known (see Knowledge Matrix). If the model is not available from the Knowledge Matrix or relevant standards, one should apply the method described in JESD91A (Method for Developing Acceleration Models for Electronic Component Failure Mechanisms) [2].

11.3 Determine Robustness Target and Area

The robustness target could be specified in terms of either lifetime or use/stress conditions. Depending on the sensitivity, the robustness target should be specified (see Figure 11.3). Defining the robustness target requires the following steps:

- Define robust lifetime t_{robust} under use conditions.
- Define robust condition P_{robust} at target lifetime.
- Draw robustness area around the point of use taking into account t_{robust} and P_{robust} (black line in Figure 11.3).

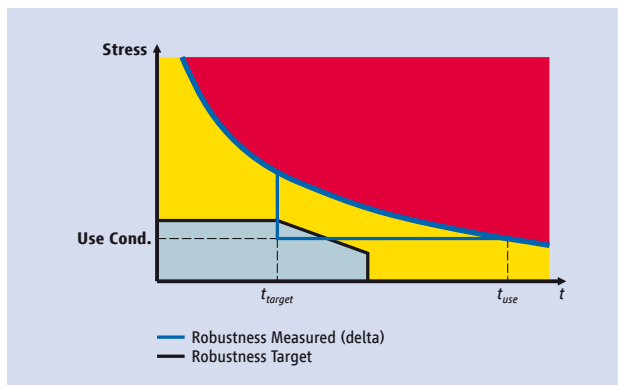
Figure 11.3 Robustness Target Definition



The robustness area around the point of use is bordered by the robustness limit (P, t) . Within the robustness area, the product should be safe against the related failure mechanisms, which means that applying a value P over time t , with (P, t) lying inside the robustness area does not result in a failure. The limit also gives the designer the opportunity to choose the point of use according to different safety requirements.

An example for such a type of robustness target could be the maximum allowed leakage current calculated based on the maximum allowed quiescent current over a use time of ten years of operation. The robustness target could be found by defining the robust value for the leakage current after 10 years of operation and by defining the operation time without violation of the leakage current target. Values between this robustness targets could be found by interpolation.

Figure 11.4 Robustness Evaluation



11.4 Determine Robustness Margin

The robustness area is the reference for the robustness comparison (Figure 11.4). The measured data for $P = P(t)$ (blue curve) shall be compared to the robustness target.

If the measured robustness curve (blue curve in Figure 11.4) is outside the target area (black boundary in Figure 11.4), the robustness per failure mechanism is sufficient. The blue bar defines the measured Robustness Margin with respect to a specific failure mechanism and a target value (see also Figure 4.2).

If the measured robustness is less than the target, measures for improvement of insufficient robustness must be applied. These measures are weighted by the severity of the effect of the failure.

Robustness Margin can be represented using the Robustness Indicator Figure (RIF). RIF is defined as the distance between the failure point ($P_{failure}$ or $t_{failure}$) and the requirements (P at use conditions or t_{target}). Taking the time to measure Robustness Margin, then

$$RIF = t_{failure} - t_{target}$$

Because in general robustness of a parameter means that the parameter is less sensitive to the change in the statistics of the input parameters (conditions of use, process variations, etc.). Therefore, it is useful to introduce a deviation parameter σ to the RIF. Furthermore, because reliability lifetimes are often Weibull or log-normal distributed, so $\ln(t)$ is used which is (approximately) normally distributed. In analogy with C_{pk} , an RIF of a reliability parameter is defined by

$$RIF = [\ln(t_{failure}) - \ln(t_{target})] / 3\sigma$$

- $t_{failure}$ = mean stress failure time of different sample sets at required failure rate
- t_{target} = required lifetime at stress conditions
- σ = standard deviation of stress failure time $[\ln(t)]$ of different sample sets and also may include deviation from use conditions.

Robustness Margin calculated with this RIF indicates not only the distance to the required target but also the sensitivity of response to the external variations.

12. Improvement

If the measured robustness is less than the target, there are several possible reactions. Some of the measures could be applied before the robustness measurement in the development phase. In all cases, this is the preferred approach. The following options for improvement are not sorted by priorities or effectiveness. Each option must be checked to determine which measure is the most effective and the most efficient and therefore has to receive top priority.

12.1 Stress Set-up Review

When the evaluated robustness does not match the targeted level, the first step (least expensive) consists of a review of the set-up and the data.

The following points should be checked:

- Confirmation of data:
 - Are the stress conditions correctly defined (e. g. to avoid overstress that stimulates irrelevant failure mechanisms)? Is the equipment calibrated?
 - Are the measurements conditions under control?
- Review of sample selection:
 - Are the samples representative for the production?
 - Were weak engineering samples selected for test?
 - Are the already stressed samples identified?
- Feasibility of failure mode:
 - Is there a good matching between targeted and obtained failure mode?
 - What is the model used?
 - How are the model parameters used from the extrapolation chosen?
- Review of stress method:
 - Is there a good matching between applied stress and targeted failure mechanism?
 - Did the test comply with the appropriate industry standard test method (i. e., JEDEC, IEC)?
- etc.

If the insufficient robustness is confirmed, improvement measures must be defined.

12.2 Mission Profile Review

A more detailed review of the Mission Profile, especially for the critical topics with low robustness values, should be performed to identify safety margins that have been added due to the lack of knowledge or data. The result of this activity could be a newly defined point of use. A tool like FMEA could support this activity to quantify the risks associated with critical topics.

12.3 Application Review

The robustness of a product is reflected in the application. Improvements could be made by alignment of the system design with the user application through co-engineering activities between the supplier and the user. Design-related issues could be addressed if the supplier and the user are involved and understand the use application and requirements. Also, both design teams can become educated on proper device use and specification:

- Check if a robustness target is required in detail.
- More robust system design.
- Part de-rating.

12.4 Screening Strategy

The screening strategy should be adapted to the failure rate target. It should address the failure mechanisms identified during the reliability qualification. The failure mechanism Knowledge Matrix could be used in the adapted stress method.

The screening strategy should be based on:

- Deployment of Part Average Testing to detect and eliminate the outlier devices.
- Deployment of Statistical Yield Analysis and Statistical Bin Limit to separate the abnormal wafers.
- Standardization of tests programs: definition of a basic test program template, based on frontend technology, blocks functionality, product and application specificities.

If burn-in is used to reduce failure rates, it must be demonstrated by a burn-in study that the relevant failure mechanism is really addressed. In some cases, the weak parts are related to certain locations on the edge of the wafer. If this is the case, the wafer edge exclusion zone can be changed to solve the problem [10].

12.5 Design for Reliability (DfR)

DfR is a powerful approach to prevent reliability problems in the application by early application of design measures. Therefore, the measures listed below should have been applied already during the design phase. Depending on the reasons for insufficient robustness, the enhancement of these measures should be discussed in this phase. Potential measures are:

- Redundant design.
- Combined with an adequate simulation tool and the data of the RV, the weak links in the design could be identified and mitigated. Examples are redundant vias and broadened lines in the interconnect part of the semiconductor component.
- Reliability simulation.
- Simulation should be performed again using the RV results to improve the accuracy of the simulation data.
- Part de-rating.

12.6 Technology/Design Solution

Technology solutions are the set of smart solutions (coupling process, design, and application) able to resolve the RV gap. The comprehensive list cannot be provided here as these solutions require a case-by-case definition, but examples could be:

- Junction temperature watchdog. This device monitors the product operating junction temperature and is able to activate a low power mode with reduced functionality mode when the junction temperature is above a defined limit.
- Lowering voltage for voltage driven risks (pushing the process to its capability limit).
- Multiplying or removing critical elements, like decoupling capacitors, if they do not meet the Mission Profile requirements.
- Critical element redundancy and switch capability: The critical element (like a capacitor) is controlled via a defined circuitry able to switch to a new capacitor if the first one fails.
- Use chip parasitic structures to clamp or bypass critical stress conditions.
- Use stress relief packages to absorb and limit damages related to mechanical constraints if present in the application.
- Tighten process limit.
- Chip redesign to address robustness issues.

If there is more than one option to improve the robustness, a trade-off must be found. The solution depends on the weight of these factors:

- Cost
- Schedule
- Quality
- Performance

When robustness improvement cannot be achieved by the means indicated above, the project situation and the related risks must be reviewed between the customer and the semiconductor component supplier.

The preferred strategy is to perform iterations in the definition of the product mission critical elements in order to offer a more effective trade-off between risks, performance, development timing, development costs, semiconductor component cost, and end-user requirements.

A typical example of such a situation would be if the current prevention techniques do not enable the expected target in the Mission Profile to be met. A potential trade-off in such a situation is to increase the silicon size, and costs, to add error detection and correction circuitry, for instance at embedded DRAM specific failure modes.

Before implementing the solution for improving robustness, the proposed solution must be reviewed with respect to several aspects:

- Is the expected improvement good enough with respect to the robustness target?
- Does the solution influence the robustness with respect to other failure mechanisms?
- What is the implementation risk (probability that the implementation fails)?

In most cases, the solution of a problem of low robustness generates some basic knowledge.

This could be:

- New design strategies.
- Better understanding of degradation or extrapolation models.
- Better understanding of Mission Profiles.

This knowledge should be fed back to the corresponding knowledge base to be made available for the next generation of projects. New failure mechanisms or their model description should be used to update the Knowledge Matrix (see Section 8.1).

Note:

Continuous improvement to achieve the concept of 'Zero Defects' [6], while important and comprehensive in scope, is outside the purview of this document.

13. Monitoring

13.1 Planning

Qualification reflects the status of the product/technology at a certain point in time, based on a certain limited sample size. The information and knowledge gathered during qualification serves to evaluate the parameters reviewed during RV with respect to their criticality during ramp-up and volume production. This evaluation is the basis of the definition of monitoring that is needed to control the stability in the production phase. A template for the monitoring plan is included in the reporting template.

Monitoring shall be based on a risk assessment that must identify potential failure mechanisms for the production phase including intrinsic and extrinsic failures, analogously to qualification. Identification may be based on, but is not restricted to:

- Observation of failure mechanisms during development and qualification.
- Mechanisms covered in a FMEA.
- Previous experience with products using comparable materials and/or processes and production tools.

*Note:
A monitoring parameter may address more than one failure mechanism.*

A monitor for each failure mechanism must be established. This monitor can address the parameters relevant to the failure mechanism at different levels. The monitoring parameters are typically chosen from different groups of parameters:

- In-line process parameters using SPC.
- Electrical parameters at the wafer level with special focus on Key Parameters using SPC.
- Final test parameters of the semiconductor component with special focus on Key Parameters using SPC.
- Defect related tests, like I_{ddq} or ΔI_{ddq} .
- Highly accelerated stress tests, such as fast wafer level reliability (fWLR) tests.
- Defect density monitors.
- High voltage tests with the semiconductor component.

- Specific tests on flash cells, such as erratic bit, moving bit, cycling endurance.
- PAT, SBA
- Burn-in
- Reliability monitoring using the semiconductor component.

Monitoring of intrinsic failure mechanisms usually needs only small sample sizes, as in qualification. Sampling for extrinsic failure mechanisms may require the use of cumulative monitoring data, aggregated over a certain period of time, as large sample sizes are needed to detect ppm levels of defects.

- A reliability monitoring plan must be set up before the start of production. This monitoring plan should contain:
 - Frequency of monitoring: The frequency of the monitoring must be aligned with the ramp-up/production volume.
 - Sample size: to be aligned with the ramp-up/production volume.
 - Response rules in case of deviations in the monitoring results.
 - Rationale in case of referencing to other technologies/products.
 - Stress tests/parameter measurements and test structures to be used. Test structure could be a specifically designed vehicle or the semiconductor component itself.
- List of components in the same relevant family that are to be monitored to cover the qualified component.

These elements are usually recorded and shared with the user in a Process Control Plan. Monitoring data should be checked continuously for indication of deviation in performance or reliability with respect to the robustness status at qualification. Special focus should be given to any extrinsic mechanisms that could not be evaluated during qualification.

Generally, unexpected behavior or anomalies shall trigger analysis or problem solving activities like 8D. In case of new knowledge generated by monitoring data, this information shall be fed back to the appropriate knowledge data base like FMEA or the Knowledge Matrix.

There will be occasions on which the supplier will want to implement a change to a qualified product in production to improve the product, throughput, manufacturing capacity and/or cost. While there are a number of industry standards and individual user and supplier requirements for qualifying these changes [3, 4, 5], these are outside the scope of this

document. Suffice it to say that the user and supplier will need to agree on a RV Plan for changes using the concepts outlined in this document. In case of changes in the product or new application conditions, the monitoring plan must be reviewed.

14. Reporting and Knowledge Exchange

The section defines documentation contents as well as communication paths along the value chain for clear understanding and agreement among the partners. Focus is set on the basic relationship between the semiconductor component and the ECU manufacturer. Special cases, such as direct communication Tier2 to OEM or intermediate steps from Tier1 to OEM regarding component aspects need to be described and contracted individually at the beginning of the cooperation.

Reporting differentiates between Commodity Components and ASICs.

14.1 Content, Structure

For reporting during the module development and semiconductor component introduction phase, it is recommended to use the basic structure and form of the APQP method. The report must cover all relevant failure modes, no matter if the robustness was validated by test structures, at the product level, or based on process monitoring data. A link to the template for documenting the Robustness Validation of semiconductor components is given in Appendix A.

For commodity parts, the RV report should be based on an assumed Mission Profile, which is to be documented in the report. For details on parameters which have to be specified for commodity products see RV Manual.

14.2 Documents for Communication, Hand-outs and General Remarks

The contact partners and addresses or functional entities in the organizations of the involved parties must be agreed and documented at the start of the project. Restrictions to information that is considered to be confidential must be clearly identified and documented in detail during project start.

Generally, the share of confidential information is regulated and described by a Non-Disclosure Agreement between the supplier and the customer.

15. Examples

15.1 Examples of the Lack of or Poor Qualification

15.1.1 Delamination between Mould Compound and Die/Lead Frame

This example shows that end-of-life testing is needed in order to correctly assess the risk of potential delamination between the mould compound and die/lead frame.

After being used for two years with 2 million parts in a safety critical application without displaying any prior trouble, the semiconductor component suddenly displayed a sharp increase of catastrophic failures due to lifted bond wires.

Temperature cycling using standard stress test qualification procedures did not show any failed parts, which means that the material in use in the field would have passed qualification because the standard stress test resulted in no fails after the required stress time. This demonstrates the weakness of the standard method [5] because either the automotive application was not covered by the stress condition or the acceleration factors were different from the ones used in the standard. Both weaknesses would have become obvious if end-of-life testing as required by RV would have been chosen.

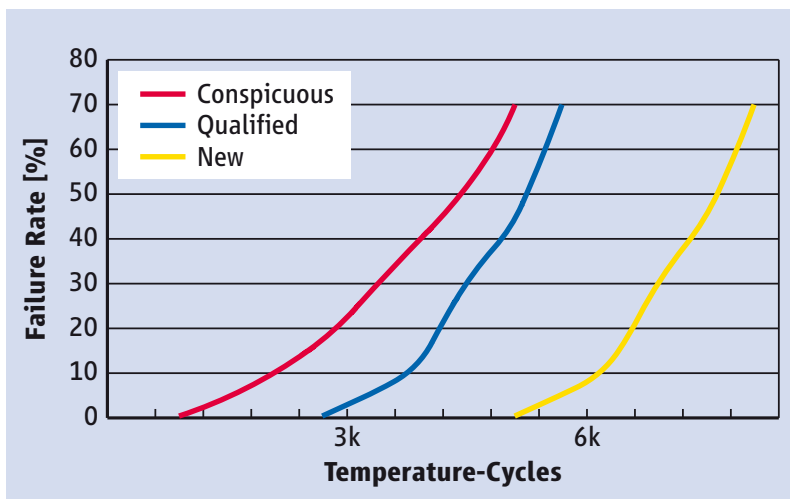
The new mould compound, which should solve the problem, had been selected by using liquid-liquid temperature shock, a highly accelerated stress test sometimes used during development, which allows relative judgment of robustness related to an already qualified reference status. The test ran until the parts started to fail (end-of-life), so that the deviation in the behavior of the weak parts compared with parts that had been used previously without failures became obvious: The weaker parts/lots with field failures did show a significant earlier end-of-life behavior than the older good ones that showed sufficient lifetime results during qualification. The failure analysis traced the origin of the 'improvement' to a modification of the mould compound that yielded a lower adhesion to die/lead frame.

Thus, by using end-of-life data, this wear out mechanism became clearly visible (see Figure 15.1).

The new compound was checked against the end-of-life data again and could be released in a short time based on relative assessment of lifetime with respect to already existing qualification data that had demonstrated its robustness in an earlier qualification. Even though the physics of failure are not fully understood; EOL data can be used to compare different materials.

This particular problem also shows the urgent need for specific monitoring tests to detect changes in the robustness before they become problems in the field.

Figure 15.1 Failure Distribution of Liquid-Liquid-Temperature Cycling



15.1.2 Qualification of a New Leadframe Finish

The qualification results of a new low-cost leadframe finish did not show any electrical failures with tested parts (45/0). The stress tests had been performed according to a stress test driven standard with preconditioning followed by temperature cycling. So, the decision was made to change to the new material according to this 'positive' go/no-go result, because intrinsic wear-out problems seemed to be excluded by the tested sample. Further analysis was not done, because there

seemed to be no indication of any different result compared to the zero fails from the original qualification performed at the first qualification with the standard frame finish.

But, additional investigations done by another party with only 18 parts as part of pre qualification work, using end-of-life tests, revealed that an unexpected metallurgy caused increased weakness of the internal stitch bond joints of the bond wires to the leadframe with a sharp increase in failure-rate due to electrically open bonds after some additional stress. It could be shown that degradation had already been started at the end of the test time required by the stress test driven standard without causing an electrically detectable fail.

From the data of the end-of-life test, the potential failure rate in an automotive application was calculated to be in the range of 5,000 ppm.

End-of-life testing avoided a catastrophic field situation by generating Robustness Data with critical limits by using a small sample but testing until failure, which other approaches were not able to reveal previously.

15.1.3 Via-Problems in Semiconductor Component Metallization

After the release of a microcontroller based on a standard qualification 5, the failure rate in the field approached 1000 ppm. The failure analysis showed open vias in the IC metallization. Such opens could be caused by:

- Via formulation problem (existence of a void underneath via)
- Via hole over etching (pass through the barrier metal)
- Barrier metal formulation problem (existence of void side wall of via)

Those via problems (see Figure 15.2) lead to timing delays of transistor switching and signal. As a result, the following problems in memories occurred:

- Timing delay of memory.
- Read problems of memory data.
- Data bus timing delay.
- Miss calculation due to data timing delay.

This commercial IC was sold in millions outside of the automotive industry with no field complaints reported.

A comparison of the Mission Profile for which the IC was designed (commercial high volume, low cost) and the automotive profile showed a severe mismatch in the life expectancy of the metallization between both profiles. The weak point was the submicron via process capability, which could not cover the automotive profile.

Conventional stress testing did not detect this weak point based on the insufficient sample sizes needed to detect this 1000 ppm occurrence. But end-of-life analysis on test structures confirmed the missing capability for the automotive profile. The following IC was modified by using a redundant double via technology in areas with sparsely populated vias and with a sufficient safety margin between the process capability and the requirement in the automotive application. As a result, no more failures happened. Because this weak point is extrinsic in nature, it cannot be detected by qualification, if it has a lower failure rate, as in this example. In this case, the main focus should be on monitoring measures.

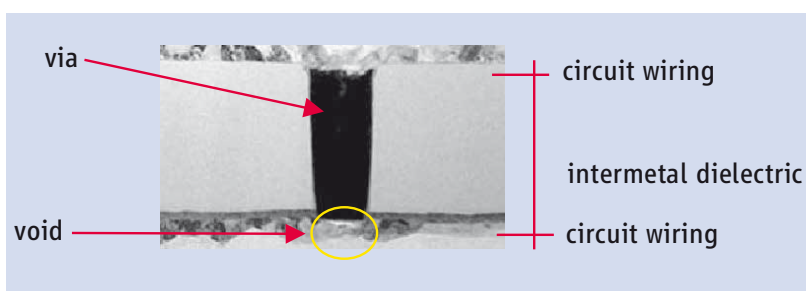
Generally, the countermeasure activities for these problems were both reduction of particle density and strengthened process control.

For example:

1. Process control of the critical C_{pk} values.
2. Test program:
 - Sufficient test coverage and Iddq test blocks.
 - Test criteria validation.
 - Operation margin validation under the condition of specification limit frequency and power supply voltage.
3. Electrical characteristics:

The control limit should be set after the RV of static/dynamic electrical characteristics variation for each device terminal.

Figure 15.2 Open by Void Under a Via



Source: Infineon Technologies AG

15.2 Integrated Capacitor Design

Some devices need capacitors with large capacitances. They are implemented as large area capacitances using a specific dielectric as the isolator and are implemented into the interconnect part of the device structure. The reliability assessment using the knowledge base uses the following steps:

1. The following data must be generated within requirements management:
 - Voltage at the capacitor
 - Junction temperature during use
 - Effective time of use (duty cycle)
 - Capacitor area needed
 - Safety margin for intrinsic break down
2. The potential risk and failure mechanism for capacitors is the hard breakdown of the dielectric.
3. The information for the Qualification Plan can be extracted from the knowledge base:
 - Test structure design large area capacitor.
 - Parameter for characterization leakage current.
 - Degradation model
 - Percolation model
 - Acceleration model
 - Linear E-field for voltage
 - Arrhenius for temperature
 - Area scaling with poisson distribution
4. Tests must be performed according to the description in JP001.01. The basic model data are usually measured during technology development and qualification. For a new product, these numbers could be used to calculate the expected intrinsic failure rate for the capacitor area planned including the intended safety margin, typically in terms of lifetime. Robustness areas could be defined for:
 - Capacitor area vs. lifetime (E, T const)
 - Capacitor area vs. electrical field (T , lifetime const)
 - Electrical field vs. lifetime (A, T const)
 - The extrinsic fail distribution is dominated by defects. Therefore, especially for large area structures, the defect density must be kept under control in production by monitoring. First, data to guarantee a certain upper level for the extrinsic distribution could

be generated during reliability qualification stress testing. Monitoring of extrinsic defects should be part of the monitoring plan.

15.3 Requirement Temperature Cycles

Different points of use in the car have different requirements with respect to the number and the ΔT of temperature cycles. The following steps describe how to cover this topic within RV:

1. Requirements management delivers the Mission Profile. Example of a typical IC for an air-conditioning system:
 - 9000 cycles with $\Delta T = 110^\circ\text{C}$
 - 7500 cycles with $\Delta T = 80^\circ\text{C}$
 - 3000 cycles with $\Delta T = 70^\circ\text{C}$
2. From the Knowledge Matrix, we learn that a certain set of failure modes, such as broken bond wire and solder fatigue, is generated by this stress.
3. The most effective test structure to evaluate this failure mode is the assembled IC. For extrapolation from stress to operating conditions, the Coffin-Manson model is used.
4. Acceleration factor: $AF = (\Delta T_{\text{stress}} / \Delta T_{\text{use}})^p$
5. If the Coffin-Manson constant p is known from experiments, it is possible to calculate the required number of cycles at a certain ΔT_{stress} (here -40°C to $+140^\circ\text{C}$, $\Delta T = 180^\circ\text{C}$), which are needed to cover the three conditions from 1. This number plus the safety margin is the target. T_{max} and T_{min} should not be in a parameter range where new or not application relevant failure mechanisms may be activated. Table 15.1 gives information about how the use conditions are transferred into stress condition for two failure modes (the assumption is that these numbers have been measured for the material and process used):
 - Al wire bond fracture: $p = 5$
 - Delamination: $p = 4.2$

Table 15.1 Example of OEM Vehicle Mission Profile Parameters – (High Level)

ΔT [°C]	Cycles (use)	Cycles (ΔT_{stress})	(ΔT_{stress})
110	9,000	1,137	767
80	7,500	249	130
70	3,000	57	27

The stress test must be performed according to the specification in JESD22A-104 until bond fracture or delamination occurs to generate the fail distribution. If at 1600 cycles no failures can be seen, the stress can be stopped and a worst case calculation can be made based on worst case acceleration factors.

- If the fail distribution lies beyond the target value, the product is robust in the required application condition.

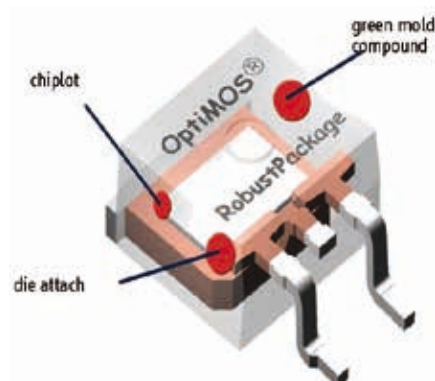
15.4 Power Electronics Design

How can the semiconductor industry actively contribute to a reliable hardware design by providing information of the aging properties of their components? An example is given for the field of Power Electronics.

15.4.1 Typical Construction of a Power MOS Device

A cross section of a typical commercially available power MOS is shown in Figure 15.3. The die is mounted on a Cu leadframe. At the die surface the gate and source contact is connected via aluminium bond wires. The back-side of the die serves as drain contact and is connected to the Cu-leadframe via a soft solder die attach. The chip is encapsulated with a plastic moulding compound.

Figure 15.3 Cross Section of a Power MOS Component [16]



15.4.2 Physics of Failure

The power MOS component consists of several materials with different Coefficients of Thermal Expansion (CTE). During temperature cycles this CTE mismatch leads to shear strain and also to tensile strain at the soft solder die attach as shown in Figure 15.4. During thermal cycles this soft solder die attach suffers from plastic deformation and crack propagation.

Figure 15.4 Schematic Cross Section Showing the Effect of Shear Strain and Tensile Strain [17]

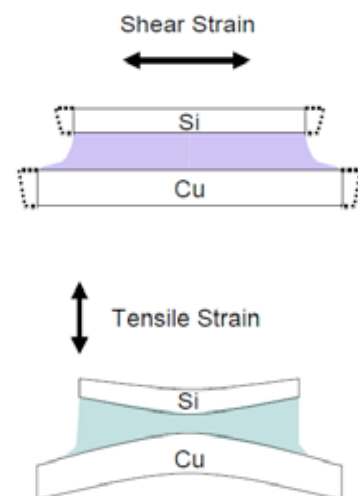
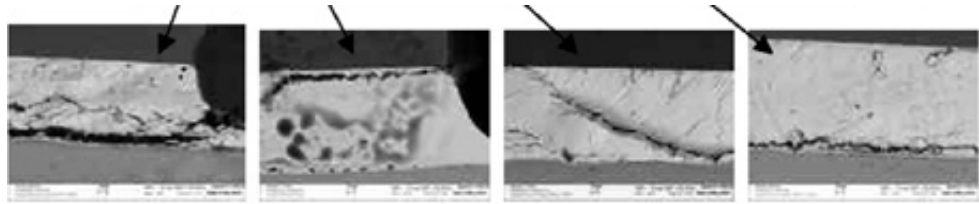


Figure 15.5 Cracks in the Die Attach Due to Accumulated Damage during Thermal Cycling [17]

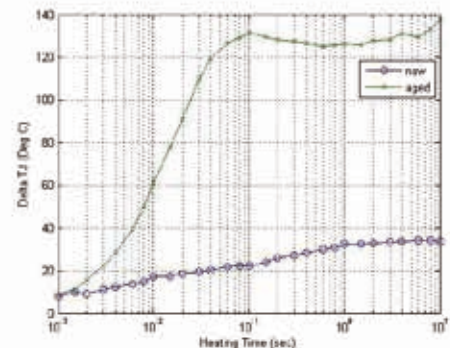


The accumulation of damage during thermal cycling can result in significant delamination between the die backside and the lead frame as shown in Figure 15.5.

15.4.3 Impact of Die Attach Degradation on Thermal Management of a Power MOS

In Figure 15.6 the thermal response of a power MOS component is shown for various heating times with a given power. The thermal response is significantly depending on the heating time. For very short time periods the thermal capacity of the component can buffer the heat unless it will be transferred to the heat-sink to which the leadframe is connected. For longer heating times the thermal resistance is statically limited by heat conduction from the chip through the die attach, through the leadframe to the heat-sink. Between these two extremes, the transition regime is dominated by the properties of the die attach itself. The die attach connects the die to the leadframe, which has a significant thermal mass. Once this connection is disturbed (i. e. by cracks in the die attach of an aged component) the rise of the thermal response curve will occur at shorter heating times. In addition the thermal conduction is significantly reduced; therefore the saturation temperature is significantly higher compared to a new component.

Figure 15.6 Deterioration of Thermal Response as Function of the Heating Time for a New and an Aged Device [18]



These thermal properties can be characterized for new but also for aged components. Depending on the thermal cycling load in a respective application, the deterioration of the thermal properties has to be taken into account. This can be done by a proper hardware design, selecting a component that will fulfil the thermal requirements during the service life of the application.

15.4.4 Degradation Model

To evaluate how much a component will degrade during the service life under application conditions a degradation model has to be applied. It allows calculating the equivalent stress in terms of qualification test duration based on the expected application conditions in the field.

For soft solder die attach, low cycle fatigue by cyclic accumulation of plastic deformation is a widely known degradation mechanism [19]. The number of cycles to fail (CTF) can be expressed as in formula (15.1) with A_0 acts as a constant and q is the Coffin Manson Exponent, which is a specific to the degradation mechanism and typically material dependent. For ductile materials the such as soft solder materials the Coffin Manson exponent ranges from $1 < q < 3$ [20]

$$CTF = A_0 (\Delta T)^{-q} \quad (15.1)$$

$$N_2 = N_1 (\Delta T_1 / \Delta T_2)^{-q} \quad (15.2)$$

With formula (15.1) the acceleration can be calculated and expressed in formula (15.2) whereas N_1 represents the number of temperature cycles at temperature T_1 and N_2 for T_2 respectively.

By knowing the expected temperature cycling load of the component in the application the equivalent stress can be expressed in numbers of temperature cycles under qualification test conditions. By knowing the level of degradation for this temperature cycling load and the impact of the components characteristics a lifetime assessment can be performed.

15.4.5 Design for Lifetime Tools

In order to support the hardware engineer regarding the selection of an appropriate power MOS for his specific application, a tool would make sense which can deal with the number of thermal cycles expected in the application, and calculates the expected deterioration of the thermal response compared to new devices (Thermal response curve as shown in Figure 15.6).

15.4.6 Impact on Design of the Application and Impact on Component Selection Step by Step Approach

- a) Mission Profile consideration on application level: Evaluation of thermal cycling load on component level.
Output: Table with ΔT and amount of expected cycles in the field.
- b) Calculation of equivalent damage due to the environmental load. Calculate the equivalent amount of qualification cycles for each ΔT and add these values to determine the equivalent damage based in equation (15.2) using the appropriate coefficient q .
- c) Provide the thermal response curve for this damage or aging level.
- d) Check if the thermal response of the aged component is still suitable for the application.
- e) If not suitable, select a component with a better thermal response in initial state and go to b).

Responsibilities and deliverables:

- a), d), e) hardware designer of the application
- b) and c) component supplier

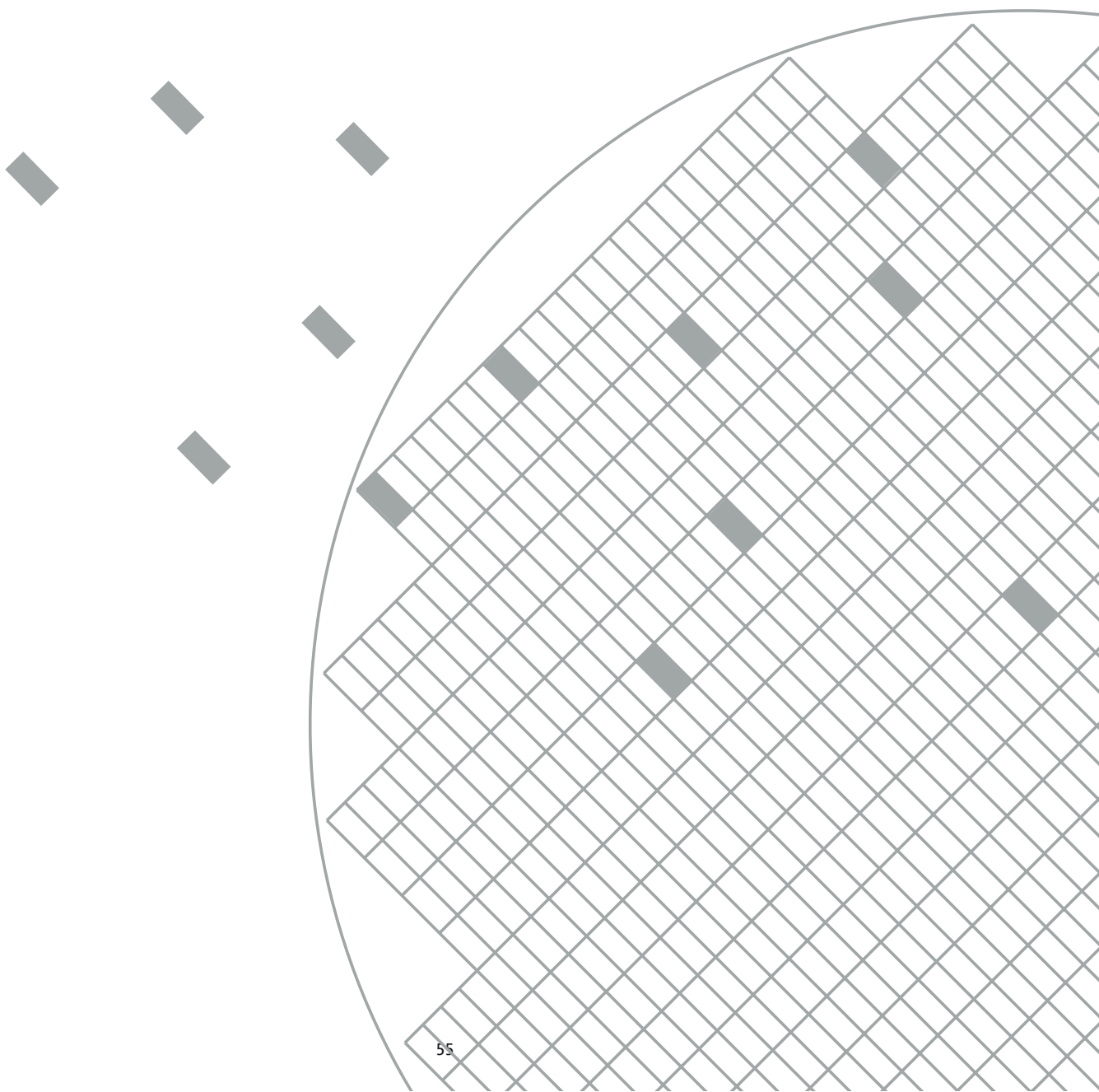
Appendix A – Knowledge Matrix

The Knowledge Matrix is not intended to be and cannot be comprehensive.
The current version of the Knowledge Matrix can be found on the ZVEI or SAE homepage:
<http://www.sae.org/standardsdev/robustnessvalidation/km.htm>
or <http://www.zvei.org/RobustnessValidation> under 'Devices'.

It will be updated regularly independent of this document by a group of experts.
Change requests should be sent to the ZVEI email address.

Appendix B – Reporting Template

A template that can be used for reporting purposes is available on the ZVEI homepage.
<http://www.zvei.org/RobustnessValidation> under 'Implementation'.



Appendix C – Terms, Definitions and Abbreviations

For terms and definitions see corresponding fact sheet.

AEC	Automotive Electronics Council
APQP	Advanced Product Quality Planning
ASIC	Application-Specific IC
CL	Confidence Level
C_{pk}	Process Capability Index
DFMEA	Design Failure Mode and Effects Analysis
DRBFM	Design Review Based on Failure Mode
DRBTR	Design Review Based on Test Review
DOE	Design of Experiments
ECU	Electronic Control Unit
EMC	Electromagnetic Compatibility
EM	Electro Migration
EOL	End-of-Life
EOS	Electrical Overstress
FLL	Frequency Locked Loop
FMEA	Failure Mode and Effects Analysis
GND	Ground
IC	Integrated Circuit
JSAE	Society of Automotive Engineers of Japan
OEM	Original Equipment Manufacturer (e. g. car makers are called OEMs)
PAT	Part Average Testing
PFA	Physical Failure Analysis
PFMEA	Process Failure Mode and Effects Analysis
PLL	Phase-Locked Loop
POR	Process of Record
ppm	Parts per Million
RIF	Robustness Indicator Figure
RV	Robustness Validation
SAE	Society of Automotive Engineers
SBA	Statistical Bin Analysis
SPC	Statistical Process Control
t₆₃	Characteristic Lifetime
TC	Temperature Cycling
TDDDB	Time-Dependent Dielectric Breakdown
TTF	Time to Failure
ZVEI	Zentralverband Elektrotechnik- und Elektronikindustrie e.V. (German Electrical and Electronic Manufacturers' Association)

Appendix D – References and Additional Reading

D.1 References

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20. JEDEC Publication JEP122G

D.2 Standards Related for Robustness Validation

EIA-670	Quality System Assessment
ISO 9001	Quality Management Systems – Requirements
JEDEC JEP70	Quality and Reliability Standards and Publications.
JEDEC JEP132	Process Characterization Guidelines
JEDEC JESD34	Failure-Mechanism-Driven Reliability Qualification of Silicon Devices
JEDEC JESD659	Failure-Mechanism-Driven Reliability Monitoring
JEDEC JESD94	Application Specific Qualification Using Knowledge Based Test Methodology

D.3 Other Robustness Validation Documents

- Knowledge Matrix is published on ZVEI and SAE homepage (yearly update, currently 4th version under review)
- Robustness Validation for MEMS – Appendix to the Handbook for Robustness Validation of Semiconductor Devices in Automotive Applications, 2009
- Handbook for Robustness Validation of Automotive Electrical/Electronic Modules / content copy: SAE Standard J1211 (2008, under review)
- Automotive Application Questionnaire for Electronic Control Units and Sensors (2006, Daimler, Bosch, Infineon)
- Pressure Sensor Qualification beyond AEC Q 100 (2008, IFX: S. Vasquez-Borucki)
- Robustness Validation Manual – How to use the Handbook in product engineering (2009, RVobustness Validation Forum)
- How to measure lifetime for Robustness Validation - step by step, ZVEI 2012

D.4 References Discussing Qualification Methodologies

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D.5 Standards for Analysis and Control

EIA-557	Statistical Process Control Systems
JEDEC JEP131	Process Failure Mode and Effects Analysis (FMEA)
JEDEC JESD50	Special Requirements for Maverick Product Elimination
JEDEC JESD671	Component Quality Problem Analysis and Corrective Action Requirements (Including Administrative Quality Problems)
JEDEC JESD37	Standard for Lognormal Analysis of Uncensored Data, and of Singly Rightcensored Data Utilizing the Persson and Rootzen Method

D.6 Stress Test Standards

ASTM F1096-87	Measuring MOSFET Saturated Threshold Voltage
ASTM F1260M-96	Standard Test Method for Estimating Electromigration Median Time-To-Failure and Sigma of Integrated Circuit Metallization
ASTM F616M-96	Standard Test Method for Measuring MOSFET Drain Leakage Current
ASTM F617-00	Standard Test Method for Measuring MOSFET Linear Threshold Voltage
ESD STM5.1-2001	ESD Association Standard Test Method for Electrostatic Discharge Sensitivity Testing – Human Body Model (HBM) Component Level
ESD STM5.2-1999	ESD Association Standard Test Method for Electrostatic Discharge Sensitivity Testing – Machine Model – Component Level
ESD STM5.3.1-1999	ESD Association Standard Test Method for Electrostatic Discharge Sensitivity Testing – Charged Device Model (CDM) Component Level
IPC/JEDEC J-STD-020	Moisture/Reflow Sensitivity Classification for Nonhermetic Solid State Surface Mount Devices
JEDEC JEP122	Failure Mechanisms and Models for Semiconductor Devices
JEDEC JEP139	Constant Temperature Aging to Characterize Aluminium Interconnect Metallization for Stress-Induced Voiding
JEDEC JEP143	Solid State Reliability Assessment and Qualification Methodologies
JEDEC JESD22-A101	Steady State Temperature Humidity Bias
JEDEC JESD22-A104	Temperature Cycling
JEDEC JESD22-A108	Temperature, Bias and Operating Life
JEDEC JESD22-A110	Highly Accelerated Stress Test (HAST)
JEDEC JESD22-A113	Preconditioning of Surface Mount Devices prior to Reliability Testing.
JEDEC JESD22-A114	Electrostatic Discharge (ESD) Sensitivity Testing Human Body Model (HBM)
JEDEC JESD22-A115	Electrostatic Discharge (ESD) Sensitivity Testing Machine Mode (MM)
JEDEC JESD22-C101	Field-Induced Charged-Device Model Test Method for Electrostatic-Discharge-Withstand Thresholds of Microelectronic Components
JEDEC JESD28	Procedure for Measuring N-Channel MOSFET Hot-Carrier-Induced Degradation under DC Stress
JEDEC JESD28-1	N-Channel MOSFET Hot-Carrier Data Analysis
JEDEC JESD33	Standard Method for Measuring and Using the Temperature Coefficient of Resistance to Determine the Temperature of a Metallization Line
JEDEC JESD35-1	General Guidelines for Designing Test Structures for the Wafer-Level Testing of Thin Dielectrics
JEDEC JESD35-2	Test Criteria for the Wafer-Level Testing of Thin Dielectrics
JEDEC JESD35	Procedure for Wafer-Level-Testing of Thin Dielectrics
JEDEC JESD60	A Procedure for Measuring P-Channel MOSFET Hot-Carrier-Induced Degradation under DC Stress
JEDEC JESD61	Isothermal Electromigration Test Procedure
JEDEC JESD63	Standard Method for Calculating the Electromigration Model Parameters for Current Density and Temperature
JEDEC JESD74	Early Life Failure Rate Calculation Procedure for Electronic Components
JEDEC JESD78	IC Latch-Up Test
JEDEC JESD85	Methods for Calculating Failure Rates in Units of FITs
JEDEC JESD87	Standard Test Structures for Reliability Assessment of AlCu Metallization with Barrier Materials
JEDEC JP001	FOUNDRIY PROCESS QUALIFICATION GUIDELINES (Wafer Fabrication Manufacturing Sites)

Appendix E – Relation to AEC-Q100/101 for already qualified electronic components

Flow chart 2 (fig E1) describes (for details see Handbook for Robustness Validation of Automotive Electrical/Electronic Modules, ZVEI)

- (1) the process at Tier 1 to assess whether a certain electronic component fulfills the requirements of the mission profile of a new Electronic Control Unit (ECU); and
- (2) the process at Semiconductor Component Manufacturer to assess whether an existing component qualified according to AEC-Q100/101 can be used in a new application.

A detailed description of flow chart steps is given below (numbers refer to these specific flow chart steps).

E.1 Assessment on ECU level

1.1 Items to consider in constructing a Mission Profile Assessment:

- Type of application
- Requirements of service life and usage
- Environmental conditions / Mounting location
- Construction of the ECU
- Power Dissipation of ECU and components
- Reliability requirements in terms of lifetime and related failure probabilities

A structured analysis of the mission profile will identify potential reliability risks in an early stage of development cycle, so that these risks can be addressed by appropriate component selection and validation.

1.2 Translation of ECU mission profile to component mission profiles, taking different loading on component level into account. Loads could be caused by assembly, shipping, storage, operation or environment. Vehicle service life is typically split into operating and non-operating parts.

1.3 Performance of 'basic calculation' facilitate the mission profile assessment via a high level check of the suitability of a component (or list of components) for the given application.

These calculations enable the translation from the component mission profile to equivalent qualification test duration under specified conditions. The decision to be made here is strategic.

- Chose no if already known that product is marginal or critical.
- Chose 'yes' for uncritical product e.g. with references to already qualified products and being not at the extremes of its specification.

1.4 By applying the 'basic calculation', the mission profile is translated into an equivalent stress with the same conditions as the qualification standard test. Commonly accepted acceleration models and parameters are used and can be taken from the literature and/or standards (e. g. JEP122). Examples are given in table 9.1.

1.5 This calculated stress duration t_{CALC} (in hours or number of cycles) has to be compared to the standard qualification duration t_{STAND} taking a safety margin t_{SM} into account.

1.6 In case $t_{STAND} > t_{CALC} + t_{SM}$ the component is assumed to be not critical/marginal. The safety margin t_{SM} has to be defined based on the application and customer requirements; there are no standardized rules for this. Assessment of criticality shall include the probability of failure until end-of-life.

E.2 Mission Profile Validation on Component Level

2.1 The recommended base for assessing the critical failure mechanism(s) is the Robustness Validation Knowledge Matrix or JEP122. Risk assessment should be performed covering at least the following main considerations:

- New materials or interfaces
- New design or production techniques
- Critical use conditions

Methods for risk assessment could be FMEA (AIAG, ...), Risk Assessment, FTA or similar.

2.2 In case acceleration models are in use in the company or known from the literature, they can be taken to perform lifetime calculations. Experiments, simulation, or literature study can be used to create such acceleration models. Sufficient acceleration may be impossible due to limiting physical boundary conditions. In such a case minimum stress times should be defined to demonstrate sufficient robustness margin, (e. g., based on change or degradation of any electrical or physical properties during or after stress and the impact on the specific application).

2.3 The acceleration model is used to calculate the acceleration factor for the standard stress condition. This in return gives the calculated minimum required stress time t_{CALC} (in hrs or number of cycles) to demonstrate reliability without failures.

2.4 A comparison with the standard qualification duration t_{STAND} is to be made. In case $t_{\text{STAND}} > t_{\text{CALC}}$ the component is assumed to be not critical/marginal. The robustness margin t_{SM} has to be defined based on the application and customer requirements. Assessment of criticality shall include the accumulated failure probability until end-of-life. Criteria for a decision shall include not only test conditions and durations as compared to the standard, but also coverage of critical failure mechanisms by the tests. Such coverage considerations include applicability of assumptions used in calculating the stress conditions, such as variation of the activation energy for different failure mechanisms. Beyond that it has to be assessed, if particular failure mechanisms are addressed by the standard test method at all. A case in point is active cycling of power devices, which is not adequately addressed by standard qualification tests. In addition, specific requirements regarding fail probabilities may not be covered by standard test procedures. MIM capacitors, for instance, are known to fail due to extrinsic defects. A requirement of, e.g., less than 100 ppm for extrinsic failures will not be covered by standard tests and sample sizes.

2.5a Based on the calculations in 2.3 the mission profile is more severe than the AEC-Q100 test conditions. In this case test conditions have to be defined which are equivalent or more severe than the mission profile. This can either be a longer test time or harsher test conditions such as higher temperatures. Both based on the acceleration models evaluated under 2.2. This means that more severe test conditions are applied for the product qualifications than the conditions defined in the AEC Q100.

2.5b If qualification data with the required test conditions are not available, it has to be decided if the qualification test data can be generated by the component supplier with reasonable effort.

2.6 Once the test conditions are defined, the technical feasibility has to be evaluated. On product level there are limitations regarding maximum temperatures, voltages or currents which can be applied. Therefore the level of lifetime acceleration is limited. In cases a reasonable product qualification scenario cannot be identified, another approach has to be applied. In this case the capability of the technology and the design of a component have to be evaluated specifically based on the technology lifetime characterization acc. to the Robustness Validation process.

B: Testing must be performed according to mission profile specific test conditions.

E.3 Robustness Validation on Component Level

3.1 In case of capability of a component for a given mission profile cannot be achieved until step 2.6, the use of more capable alternative components should be considered. If alternative components with higher robustness are not available either the mission profile has to be adopted or the technological capability of the component has to be assessed applying the robustness validation approach.

C: Testing must be performed according to mission profile requirements following the Robustness Validation strategy with focus on

critical failure mechanisms. The test plan shall be aligned between CM and Tier 1.

Process for qualification plan generation based on mission profile:

- a) List all conditions, operating, non-operating, production or transport with all relevant parameters like temperature, temperature cycles, humidity or other and the correspondent time the condition applies.
- b) For a temperature mission profile all periods with identical temperatures are summarized. An example for one condition of

a temperature mission profile is given in line 1 of table E.1. For a predefined stress condition each of the conditions can be quantified using the acceleration model, here the Arrhenius model. The result is the equivalent stress time.

- c) For a temperature cycling and a humidity-temperature example the calculation is shown in line 2 and 3 using the Coffin-Manson and Hallberg-Peck model.

Loading	Mission Profile Input	Stress Test	Stress Conditions	Acceleration Model (all temperatures in K, not in °C)	Model Parameters	Calculated Test Duration
One operational mode	$t_u = 2,000$ h (single mode operating use) $T_u = 87$ °C (single mode related junction temperature in use environment)	High Temperature Operating Life (HTOL)	$T_t = 125$ °C (junction temperature in test environment)	Arrhenius $A_f = \exp \left[\frac{E_a}{k_B} \cdot \left(\frac{1}{T_u} - \frac{1}{T_t} \right) \right]$ Also applicable for High Temperature Storage Life (HTSL) and NVM Endurance, Data Retention Bake, & Operational Life (EDR)	$E_a = 0.7$ eV (activation energy; 0.7 eV is a presumed value, actual values depend on failure mechanism and range from -0.2 to 1.4 eV) $k_B = 8.61733 \times 10^{-5}$ eV/K (Boltzmann's Constant)	$t_t = 232$ h (test time) $t_t = \frac{t_u}{A_f}$
Thermo-mechanical	$n_u = 54,750$ cls (number of engine on/off cycles over 15 yr of use) $\Delta T_u = 76$ °C (average thermal cycle temperature change in use environment)	Temperature Cycling (TC)	$\Delta T_t = 205$ °C (thermal cycle temperature change in test environment: -55 °C to 150 °C)	Coffin Manson $A_f = \left(\frac{\Delta T_t}{\Delta T_u} \right)^m$ Also applicable for Power Temperature Cycle (PTC)	$m = 4$ (Coffin Manson exponent; 4 is a presumed value and to be used for cracks in hard metal alloys, actual values depend on failure mechanisms and range from 1 for ductile to 9 for brittle materials)	$n_t = 1034$ cls (number of cycles in test) $n_t = \frac{n_u}{A_f}$
Humidity & Temperature	$t_u = 3,000$ hr (engine off time over 15 yr of use) $RH_u = 91$ % (average relative humidity in use environment) $T_u = 27$ °C (average temperature in use environment)	Temperature Humidity Bias (THB)	$RH_t = 85$ % (relative humidity in test environment) $T_t = 85$ °C (ambient temperature in test environment)	Hallberg-Peck $A_f = \left(\frac{RH_t}{RH_u} \right)^p \cdot \exp \left[\frac{E_a}{k_B} \cdot \left(\frac{1}{T_u} - \frac{1}{T_t} \right) \right]$ Also applicable for Highly Accelerated Steam Test (HAST) and Unbiased Humidity Steam Test (UHST). See (Note)s.	$p = 3$ (Peck exponent, 3 is a presumed value and to be used for bond pad corrosion) $E_a = 0.8$ eV (activation energy; 0.8 eV is a presumed value) $k_B = 8.61733 \times 10^{-5}$ eV/K (Boltzmann's Constant)	$T_t = 24.5$ h $t_t = \frac{t_u}{A_f}$

Table E1 Examples for calculating test durations based on single conditions from Mission Profile

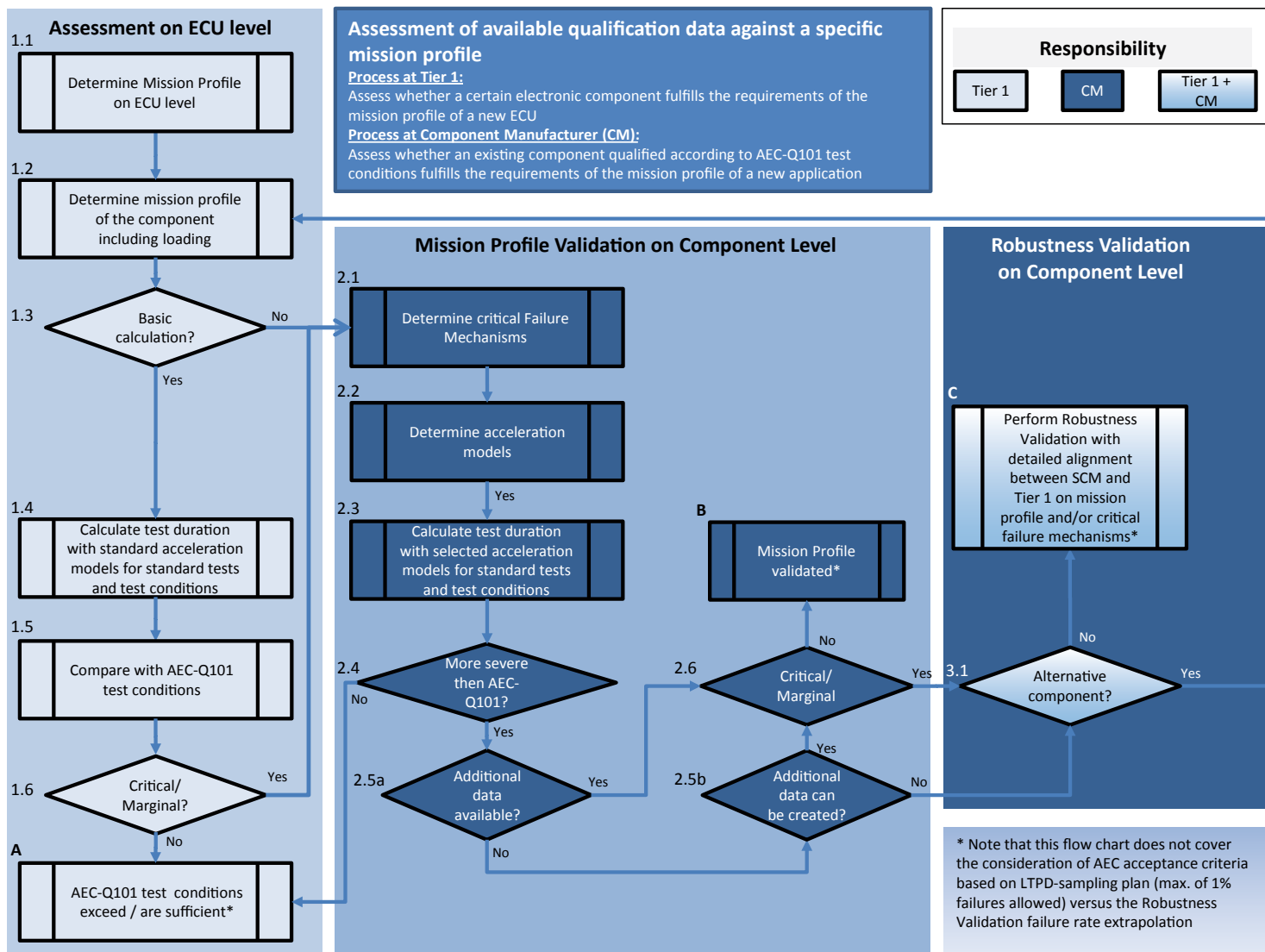


Figure E1 Flow Chart 2 – Reliability Test Criteria for qualified component

Appendix F – From Mission Profile to Test Condition (an example)

In this example the distribution of temperature stress over the lifetime, the temperature mission profile of the component is shown in figure F1.

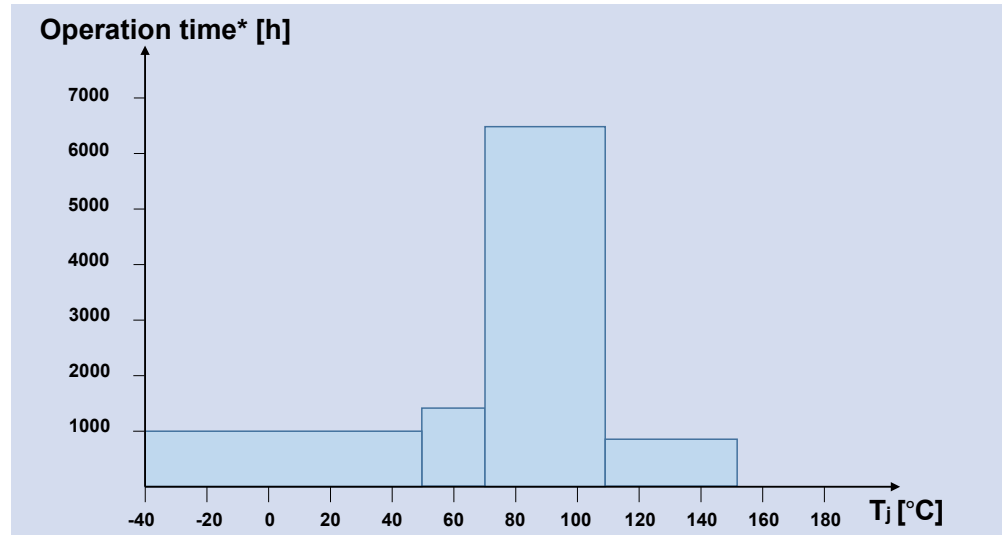


Figure F1 Temperature Mission Profile

A conservative approach for a failure mechanism associated with high temperature would be to link each bin to its maximum temperature. In this case this would result in the values given in table F1.

Duration (h)	Percentage (%)	Tj component (°C)
1000	10	48
1600	16	71
6500	65	108
890	9	150

Table F1 Mission Profile Example

For two failure mechanisms:

1. FM1: transistor degradation (Vt-shift) due to H2 diffusion thru silica with $E_a = 0.42$ eV
2. FM2: short due to Cu diffusion with $E_a = 1.0$ eV

and using Arrhenius equation the contribution to a stress time at 150 °C could be calculated for each of the four temperatures (see tab F2).

Duration (h)	Tj component (°C)	Stress duration (h for FM1)	Stress duration (h for FM2)
1000	48	27	0.2
1600	71	114	3
6500	108	1826	316
890	150	890	890
Stress equivalent/sum	150	2857	1209

Table F2 Stress Time Equivalent per Temperature in Mission Profile

The resulting cumulative stress time for 150 °C is 2857 h for failure mechanism 1 and is 1209 h for failure mechanism 2. These stress times are representing the load for all four temperatures under use conditions.

The range of activation energies for typical temperature related failure mechanism is between -0.2 eV and 1.4 eV. Figure F2 demonstrates the effect of activation energies on acceleration factors that are used for life and stress time calculation.

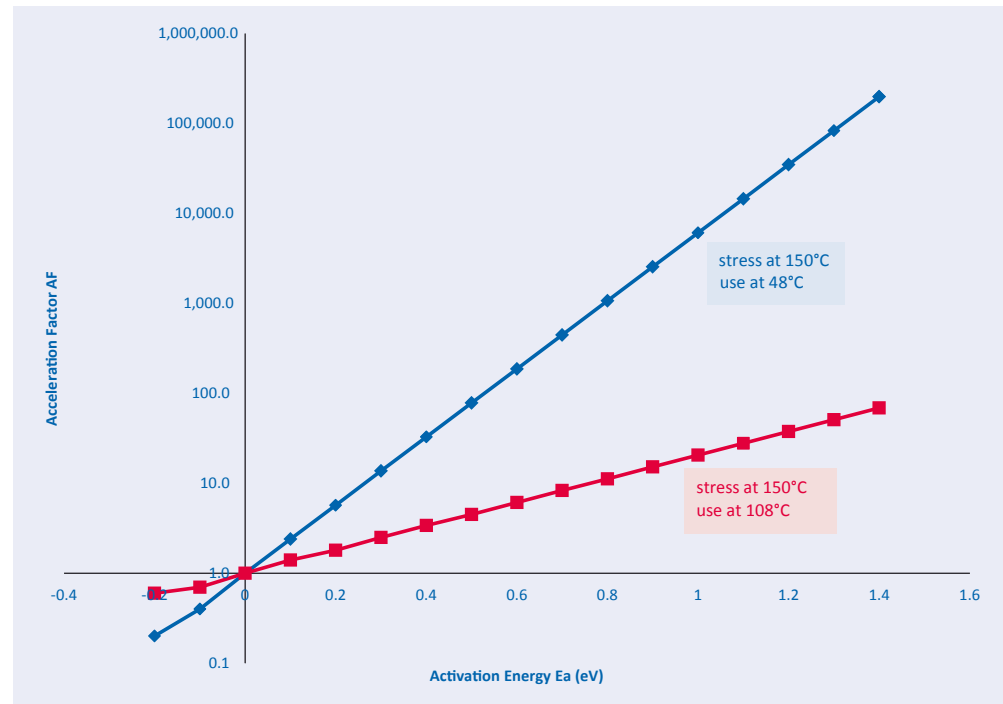
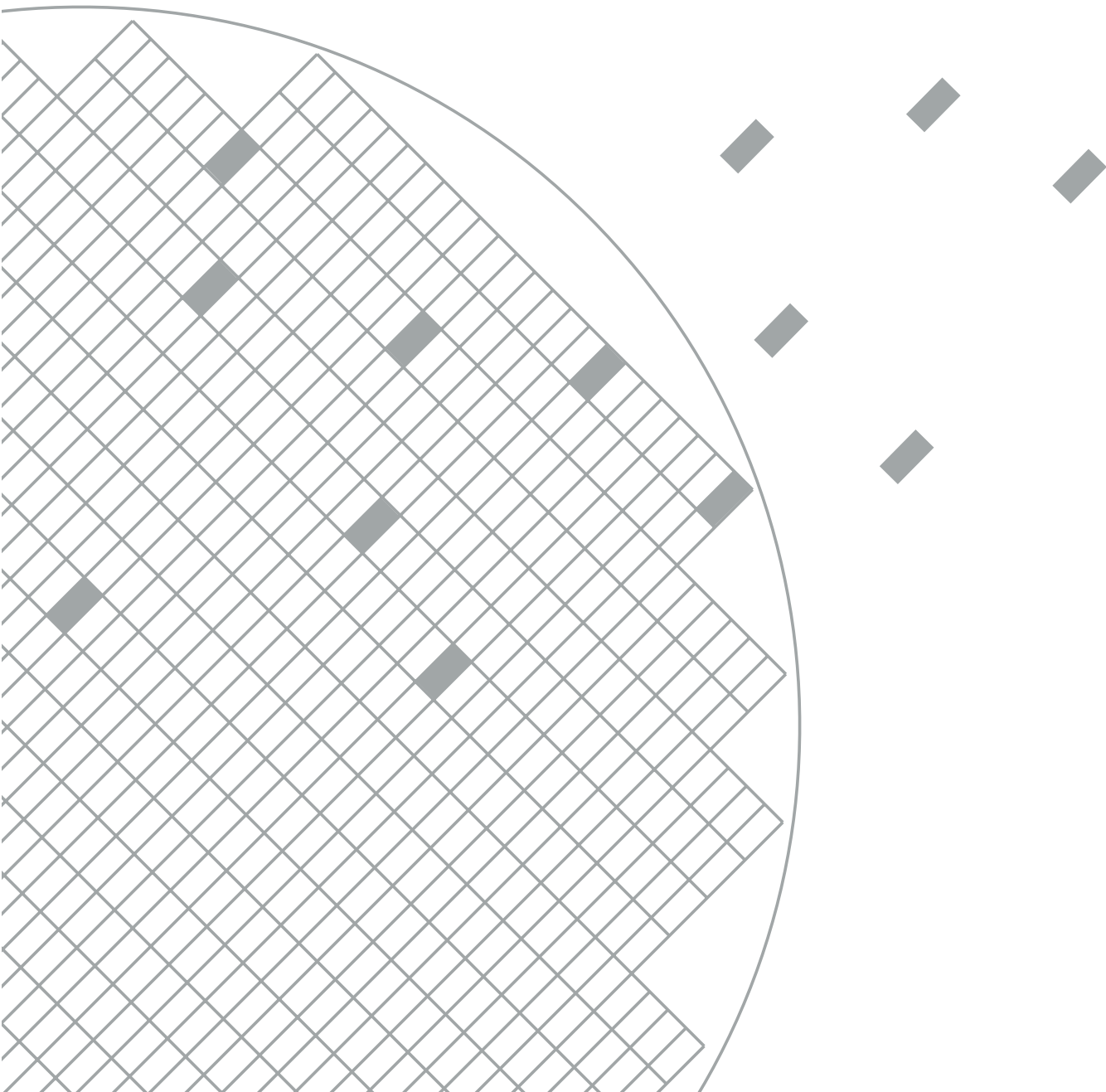


Figure F2 Acceleration Factor vs Activation Energy

It should be noted that the acceleration factor from 48 °C to 150 °C changes by 7 orders of magnitude for the range of typical activation energies. For acceleration from 108 °C to 150 °C the change is still 2 orders of magnitude. This means that wrong assumptions for the critical failure mechanism and related activation energy could result in severely wrong life time forecast.

Notes





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